

Yuhai Tu, IBM Research

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## Work Experience

IBM T. J. Watson Research Center, Yorktown Heights, NY	
Research Staff Member	1994-Present
(Head of the Theory Group, 2003-2015)	
California Institute of Technology, Pasadena, CA	
Division Prize Research Fellow	1991-1994

## Education

- Undergraduate Education : 1983-1987, B.S., Physics, University of Science and Technology of China (the Gifted Young Class '83).
- Graduate Education : 1987-1991, Ph. D., Physics , University of California, San Diego (via the CUSPEA program).
- Postgraduate Training : 1991-1994, Division Prize Fellow, California Institute of Technology.

## Honors and Awards

APS Lars Onsager Prize	2020
AAAS Fellow	2020
APS Fellow	2004
Division Prize Research Fellowship (California Institute of Technology)	1991-1994

## Research Interests

Yuhai Tu has a diverse range of research interests from Physics, Biology, Material Science, to Machine Learning. His PhD and Postdoc work were on nonlinear dynamics and pattern formation in nonequilibrium systems. After joining IBM Research in 1994, he did pioneering work on collective phenomena in active systems (flocking dynamics), surface physics (Si-SiO<sub>2</sub> interface), and nonequilibrium phase transitions. Since 2000, his research interests shift to biological physics. He has made seminal contributions in many areas of biological physics including algorithm development and statistical analysis for high throughput transcriptome data (microarray analysis); quantitative modeling of signal transduction and motility in bacterial chemotaxis; and thermodynamics of nonequilibrium biochemical networks. His recent work focuses on three directions: (1) dynamics of biological networks -- biochemical networks for signal transduction and neural networks for coding and computation; (2) thermodynamics of information processing in biological systems; (3) statistical physics of machine learning.

## Professional Activities

- Vice-Chair/Chair, APS Division of Biological Physics (DBIO), 2016-2018.

- Vice-Chair/Chair, Gordon Research Conference (GRC) “Stochastic Physics in Biology”, 2019/2021.

\*\*Note: The 2021 GRC meeting on “Stochastic Physics in Biology” (Ventura, Oct. 10-15) I chaired was the first GRC meeting held in-person after the start of the covid pandemic.

- Associate editor for the Springer journal Quantitative Biology.
- Distinguished Visiting Chair Professor in Peking University (PKU) Department of Physics and Center for Quantitative Biology since 2007.
- Adjunct professor in the department of electrical engineering at Columbia University in the 2012 spring semester; visiting professor at École Polytechnique Fédérale de Lausanne (EPFL) in the 2013 spring semester.
- Invited speaker for colloquiums and seminars at many universities and research centers, e.g., Caltech, Yale, Harvard, Princeton, IAS, UCSF, Columbia, KITP, University of Chicago, etc.
- Invited speaker in major physics conferences, e.g., APS March meeting, and in major biology meetings, e.g., Signal Transduction in Microorganisms (STIM) GRC, Biophysical Society (BPS) meeting.
- Referee for Journals in Physics and Biophysics: Physical Review and Physical Review Letters and in Biology: Nature, Science, *PNAS*, etc.
- Review panelist for NSF and NIH.
- Organizer for symposium and special sessions in major conferences and workshops, e.g., APS March meeting, Aspen Center for Physics, KITP, KIPTC, ICBP, SIAM conference.

## Publication List

1. **“Modeling Bacterial Flagellar Motor With New Structure Information: Rotational Dynamics of Two Interacting Protein Nano-Rings”**, Y. Cao, T. Li, Yuhai Tu, *Front. Microbiol.*, 25 May 2022.  
<https://www.frontiersin.org/articles/10.3389/fmicb.2022.866141/full>
2. **“State-space renormalization group theory of nonequilibrium reaction networks: Exact solutions for hypercubic lattices in arbitrary dimensions”**, Qiwei Yu and Y. Tu, *Phys. Rev. E* 105, 044140, 25 April 2022.
3. **“Protein Concentration Fluctuations in the High Expression Regime: Taylor’s Law and Its Mechanistic Origin”**, A. Sassi, M. Garcia-Alcalá, M. Aldana, and Y. Tu, *PRX* 12, 011051, 2022. <https://journals.aps.org/prx/abstract/10.1103/PhysRevX.12.011051>

4. “**Short-Term Plasticity Regulates Both Divisive Normalization and Adaptive Responses in Drosophila Olfactory System.**”, Y. Liu, Q. Li, C. Tang, S. Qin, Y. Tu, **Front. Comput. Neurosci.**, 15:730431, Oct. 22, 2021. doi: 10.3389/fncom.2021.730431.
5. “**Phases of learning dynamics in artificial neural networks: in the absence and presence of mislabeled data**”, Y. Feng and Y. Tu, Machine Learning: Science and Technology (MLST), July 19, 2021. <https://iopscience.iop.org/article/10.1088/2632-2153/abf5b9/pdf>
6. “**Cell assembly formation and structure in a piriform cortex model**”, Roger Traub, Yuhai Tu, and Miles Whittington Y. Feng and Y. Tu, **Rev. Neurosci.**, July 15, 2021. <https://iopscience.iop.org/article/10.1088/2632-2153/abf5b9/pdf>
7. “**Finding gene network topologies for given biological function with recurrent neural network**”, J. Shen, F. Liu, Y. Tu, and C. Tang, **Nature Communications**, 12, 3125 (2021). <https://www.nature.com/articles/s41467-021-23420-5#citeas>
8. “**Mechanosensitive remodeling of the bacterial flagellar motor is independent of direction of rotation**”, Navish Wadhwa, Yuhai Tu, and Howard C. Berg, **PNAS**, 118 (15), e2024608118, 2021.
9. “**The inverse variance-flatness relation in Stochastic-Gradient-Descent is critical for finding flat minima**”, Y. Feng and Y. Tu, **PNAS**, 118 (9), 2021.
10. “**Scaling of Energy Dissipation in Nonequilibrium Reaction Networks**”, Qiwei Yu, D. Zhang, Y. Tu, **Phys. Rev. Lett. (PRL)**, 126, 080601, 2021.
11. “**Filtering input fluctuations in intensity and in time underlies stochastic transcriptional pulses without feedback**”, A.S. Sassi, M. Garcia-Alcalá, M. J. Kim, P. Cluzel, Y. Tu, **PNAS**, 117 (43), 26608-26615, 2020.
12. “**Sequential modification of bacterial chemoreceptors is key for achieving both accurate adaptation and high gain**”, B. A. Mello, A.B. Anderson, Y. Tu, **Nature Communications**, 11(1), 1-10, 2020.
13. “**The energy cost and optimal design for synchronization of coupled molecular oscillators**”, D. Zhang, Y. Cao, Q. Ouyang, Y. Tu, **Nature Physics** 16, 95-100 (2020).
14. “**Optimal compressed sensing strategies for an array of nonlinear olfactory receptor neurons with and without spontaneous activity**”, S. Qin, Q. Li, C. Tang, Y. Tu, **PNAS**, 116(41), 20286-20295 (2019).
15. “**Error-Speed correlations in biochemical synthesis**”, D. Chiuchiù, Y. Tu, S. Pigolotti, **Phys. Rev. Lett. (PRL)**, 2019.

16. "Learning to Learn without Forgetting by Maximizing Transfer and Minimizing Interference", Matthew Riemer, Ignacio Cases, Robert Ajemian, Miao Liu, Irina Rish, Yuhai Tu, Gerald Tesauro, **ICLR**, 2019.
17. "The escape band in *Escherichia coli* chemotaxis in opposing attractant and nutrient gradients", X. Zhang, G. Si, Y. Dong, K. Chen, Q. Ouyang, C. Luo, Y. Tu, **PNAS**, 2019.
18. "Swarming in the dirt: ordered flock with quenched disorder", N. Guttenberg, J. Toner, Yuhai Tu, **Phys. Rev. Lett. (PRL)**, 2018.
19. "Hydrodynamic Theory of Flocking in the Presence of Quenched Disorder", N. Guttenberg, J. Toner, Yuhai Tu, **Phys. Rev. E**, 2018.
20. "A dual regulation mechanism of histidine kinase CheA identified by combining network-dynamics modeling and system-level input-output data", Bernardo A. Mello , Wenlin Pan, Gerald L. Hazelbauer, and Yuhai Tu, **Plos Comp. Bio.**, 2018.
21. "Design principles for enhancing phase sensitivity and suppressing phase fluctuations simultaneously in biochemical oscillatory systems", C. Fei, Y. Cao, O. Qi, and Yuhai Tu, **Nature Communications**, doi:10.1038/s41467-018-03826-4, 2018.
22. "Adaptation in Living Systems", Yuhai Tu and Wouter-Jan Rappel, **Annu. Rev. Condens. Matter Phys.** 2018.9:183-205, 2018.
23. "Design principles and thermodynamic limits for irreversible molecular motors", Yuhai Tu and Yuansheng Cao, **PRE** 97 (2): 022403, 2018.
24. "Odor-evoked inhibition of olfactory sensory neurons drives olfactory perception in *Drosophila*", Cao et al, **Nature Communications**, 8:1357, 2017.
25. "Molecular mechanism of bacterium flagellum growth", Thibaud T. Renault, Anthony O. Abraham, Tobias Bergmiller, Guillaume Paradis, Simon Rainville, Călin C. Guet, Keiichi Namba, Yuhai Tu, James P. Keener, Tohru Minamino, and Marc Erhardt, **eLife** 2017; 10.7554/eLife.23136.
26. "Barrier Crossing in *Escherichia coli* Chemotaxis", Zhaojun Li, Qiuxian Cai, Xuanqi Zhang, Guangwei Si, Qi Ouyang, Chunxiong Luo, and Yuhai Tu, **Phys. Rev. Lett. (PRL)** 2017. 118: 098101.
27. "A framework towards understanding mesoscopic phenomena: Emergent unpredictability, symmetry breaking and dynamics across scales", Hong Qian, Ping Ao, Yuhai Tu, Jin Wang, **Chemical Physics Letters**, 665, pp. 153-161, 2016.

28. "Information Processing in Bacteria: Memory, Computation, and Statistical Physics: A Key Issues Review", Ganhui Lan, Yuhai Tu, **Reports on Progress in Physics**, 79(5), pp. 52601-52617, 2016.
29. "Distinct Signaling of Drosophila Chemoreceptors in Olfactory Sensory Neurons", Li-Hui Cao, Bi-Yang Jing, Xiankun Zeng, Dong Yang, Yuhai Tu, Dong-Gen Luo, **PNAS**, 113(7), E902-911, 2016. (doi: 10.1073/pnas.1518329113)
30. "The free-energy cost of accurate biochemical oscillations", Yuansheng Cao, Hongli Wang, Qi Ouyang, and Yuhai Tu, **Nature Physics**, 11, 772, 2015.
31. "Free Energy Cost of Reducing Noise while Maintaining a High Sensitivity", Pablo Sartori and Yuhai Tu, **Phys. Rev. Lett. (PRL)** 2015. 115: 118102.
32. "Both piston-like and rotational motions are present in bacterial chemoreceptor signaling", D. Yu, X. Ma, Yuhai Tu, and L. Lai, **Scientific Reports**, 5 : 8640, DOI: 10.1038/srep08640, 2015.
33. "Molecular Interactions of Bacterial Chemoreceptor Assemblies", Da-Qi Yu, Yuhai Tu, Lu-Hua Lai, **Acta Physico-Chimica Sinica**, 30 (7), 1347-1353, 2014.
34. "Behaviors and Strategies of Bacterial Navigation in Chemical and Nonchemical Gradients", B. Hu, Yuhai Tu, **PLoS Computational Biology** 10 (6), e1003672, 2014.
35. "Macroscopic traveling packet and soliton states of quasi-one-dimensional flocks", N. Guttenberg, J. Toner, Yuhai Tu, **Physical Review E** 89 (5), 052711, 2014.
36. "Angle sensing in magnetotaxis of Magnetospirillum magneticum AMB-1", X. Zhu, X. Ge, N. Li, LF Wu, C. Luo, Q. Ouyang, Yuhai Tu, G. Chen, **Integrative Biology**, 2014, DOI: 10.1039/c3ib40259b.
37. "Discovery of Novel Chemoeffectors and Rational Design of *E. coli* Chemoreceptor Specificity", S. Bi, D. Yu, G. Si, C. Luo, T. Li, Q. Ouyang, V. Jakovljevic, V. Sourjik, Yuhai Tu, and L. Lai, **Proc. Natl. Acad. Sci. USA (PNAS)**, 2013, doi:10.1073/pnas.1306811110.
38. "Synaptic gating at axonal branches, and sharp-wave ripples with replay: a simulation study", N. Vladimirov, R. Traub, and Yuhai Tu, **Euro. J. Neuroscience**, 2013.
39. "The cost of sensitive response and accurate adaptation in networks with incoherent type-1 feed-forward loop", Ganhui Lan and Yuhai Tu, **J. R. Soc. Interface**, 10(87), 2013.
40. "Precision sensing by two opposing gradient sensors: How does Escherichia coli find its preferred pH level?", Bo Hu and Yuhai Tu, **Biophysical Journal**, 2013.

41. "Quantitative Modeling of Bacterial Chemotaxis: Signal Amplification and Accurate Adaptation", Yuhai Tu, *Annu. Rev. Biophys.* 2013. 42: 337-59.
42. "Coordinated switching of bacterial flagellar motors: evidence for direct motor-motor coupling?", Bo Hu and Yuhai Tu, *Phys. Rev. Lett. (PRL)* 2013. 110: 158703-07.
43. "Anomalous smoothing in Preceding Island Formation During Growth on Patterned Substrates", B. Bergamaschini, T. Tersoff, Yuhai Tu, J. Zhang, G. Bauer, and F. Montalenti, *Phys. Rev. Lett. (PRL)* 109, 156101-156105, 2012.
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46. "The energy-speed-accuracy trade-off in sensory adaptation", G. Lan, P. Sartori, S. Neumann, V. Sourjik, and Yuhai Tu, *Nature Physics* 8, 422–428, 2012.
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49. "Wave Speed in Excitable Random Network with Spatially Constrained Connections", N. Vladimirov, R. D. Traub, and Yuhai Tu, *Plos ONE* 6(6): e20536.6 doi:10.1371/journal.pone.0020536, 2011.
50. "Noise Filtering Strategies in Adaptive Biochemical Signaling Networks", P. Satori and Yuhai Tu, *J. Stat. Phys.*, 142 (6), 1206-1217, 2011.
51. "Dynamics of the Bacterial Flagellar Motor: The Effects of Stator Compliance, Back Steps, Temperature, and Rotational Asymmetry", G. Meacci, G. Lan, and Yuhai Tu, *Biophysical Journal*, 100 (8), 1986-1995, 2011.
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57. "Dislocation-interaction-based model of strained-layer relaxation", K. Schwarz and Yuhai Tu, **J. Appl. Phys.** 106, 083510 (2009); doi:10.1063/1.3243285.
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59. "Dynamics of the bacterial flagellar motor with multiple stators", G. Meacci and Yuhai Tu, **Proc. Natl. Acad. Sci. USA (PNAS)**, 106(10), 3746-3751 (2009).
60. "Logarithmic sensing in *Escherichia coli* bacterial chemotaxis", Yevgeniy V Kalinin, Lili Jiang, Yuhai Tu, and Mingming Wu, **Biophysical Journal**, 96(6), 2439-2448 (2009).
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64. "Effects of adaptation in maintaining high sensitivity over a wide range of backgrounds for *Escherichia coli* chemotaxis", B. Mello and Yuhai Tu, **Biophysical Journals**, 92(4), 2329-2337 (2007).
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66. "Relation between gene expression and observed intensities in DNA microarrays-a modeling study", G. Held, G. Grinstein and Yuhai Tu, **Nucleic Acids Research**, 34(9), (2006).
67. "An allosteric model for heterogeneous receptor complexes: Understanding bacterial chemotaxis responses to multiple stimuli", B. Mello and Yuhai Tu, **Proc. Natl. Acad. Sci. USA (PNAS)**, 102(48), 17354-17359 (2005).
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70. "How white noise generates power-law switching in bacterial motors", Yuhai Tu and G. Grinstein, **Phys. Rev. Lett. (PRL)**, 94, 208101(2005)
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83. "Tracking CD40 Signaling during Normal Germinal Center Development by Gene Expression Profiling", Basso K, Klein U, Niu H, Stolovitzky GA, Tu Y, Califano A, Cattoretti G, Dalla-Favera R.. *Ann N Y Acad Sci.* 2003 Apr; 987:288-290 (2003).
84. "Can we identify cellular pathways implicated in cancer using gene expression data?", Shah N, Lepre J, Tu Y, Stolovitzky GA, *Proceedings of the 2nd IEEE Computational Systems Bioinformatics Conference*, pp. 94-103, (2003).
85. "Ising Model of Cardiac thin filament activity with nearest-neighbor cooperative interactions", John Jeremy Rice, G. Stolovitzky, Yuhai Tu, and Pieter P. de Tombe, *Biophysical Journal*, 84, 897-909 (2003).
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