

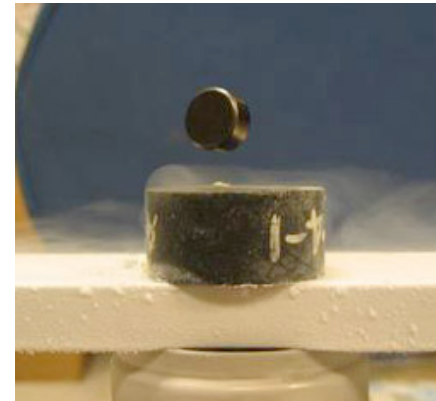
# Basic Research Needs for Superconductivity

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## *Understanding Mechanisms of Superconductivity and Design of Advanced Superconductors*

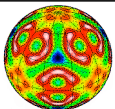
Warren E. Pickett (UCDavis)

Based in part on 2006  
DOE/BES Report



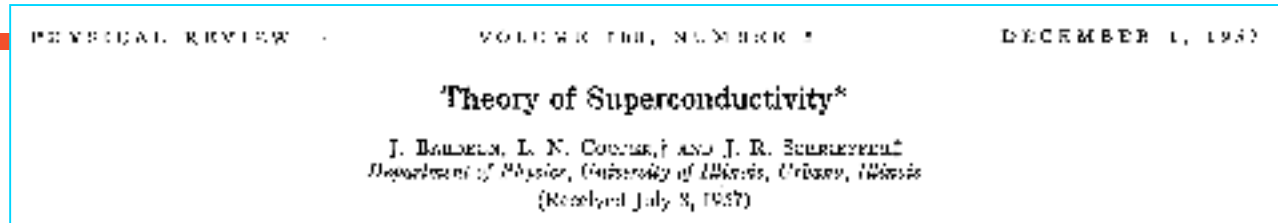
Outline:

- history, mechanisms of HTS
- perspective: requirements of a theory of HTS
- outstanding challenges in mechanisms (non-HTS)  
stimulated by new materials discoveries
- design of new, advanced superconductors



In the beginning..... Phys. Rev. 108, 1175-1204 (1957)

## BCS Theory



John Bardeen  
Leon Cooper  
J. Robert Schrieffer



50th anniversary of the BCS paper

1360 citations as of 2003

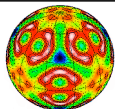
5th most of any in PR/PRX/PRL/RMP

S. Redner,  
Physics Today,  
2005

### Citation Statistics from 110 Years of *Physical Review*

Publicly available data reveal long-term systematic features about citation statistics and how papers are referenced. The data also tell fascinating citation histories of individual articles.

Sidney Redner



Basic Energy Sciences

BES Report on Basic Research Needs for Superconductivity  
<http://www.sc.doe.gov/bes/reports/abstracts.html#SC>

## the superconductor tsunami (late 1986)

Z. Phys. B - Condensed Matter 64, 189-193 (1986)



## Possible High $T_c$ Superconductivity in the Ba - La - Cu - O System

J.G. Bednorz and K.A. Müller

IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

30 K onset

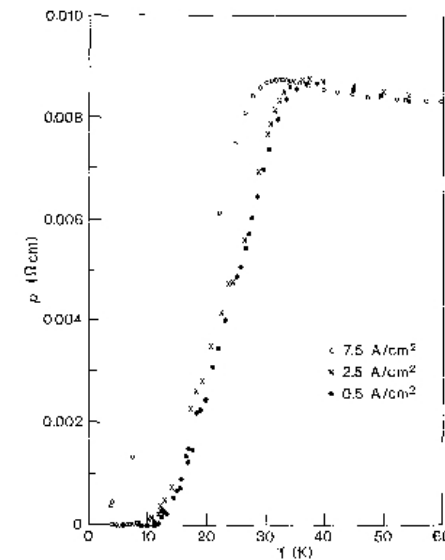
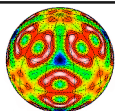


Fig. 3. Low-temperature resistivity of a sample with  $x(\text{Ba})=0.75$ , recorded for different current densities

20th Anniversary

Nobel Prize in Physics, 1987



Basic Energy Sciences

Workshop on Superconductivity May 8-11, 2006

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## *HTS Superconductivity*

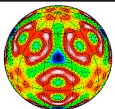
Session B1 (yesterday): 20th anniversary of High  $T_c$  Superconductivity 'Woodstock Session'

6th anniversary of MgB<sub>2</sub> mini-Woodstock

54 sessions at this meeting with "supercond" in the title



This continues a 20 year tradition of numerous superconductivity sessions at the APS March Meeting.



# *DOE Workshop, May 2006*

*The BES Report on*

## ***Basic Research Needs for Superconductivity***

*George Crabtree*

*Argonne National Laboratory*

*John Sarrao*

*Los Alamos National Laboratory*

*Wai Kwok*

*Argonne National Laboratory*

*Outline*

*Electricity as Energy Carrier*

*The Challenged Grid*

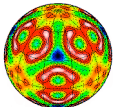
*Superconductivity Solutions*

*\* Research Challenges*



*Basic Energy Sciences*

*Workshop on Superconductivity May 8-11, 2006*



*Basic Energy Sciences*

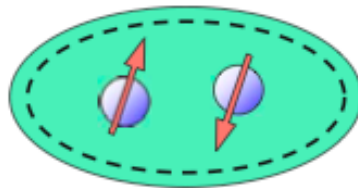
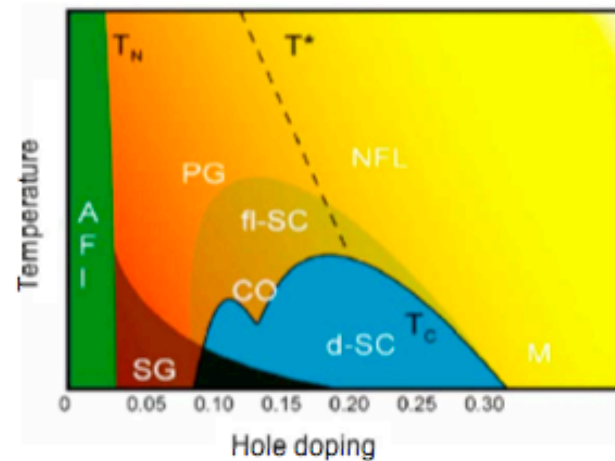
*Workshop on Superconductivity May 8-11, 2006*

## Enabling Superconductivity - Find The Mechanisms !

### Tantalizing phenomena

- p-, d-wave Cooper pairing
- Low charge density: Bose-Einstein condensation
- Nearby insulating, magnetic states
- High temperature "fluctuating superconductivity"
- Nanophase separation: stripes, checkerboards
- Two band superconductivity

...



- Cooper pairing
- spin fluctuations
- valence fluctuations
- phonons (classical BCS)
- ...

### Understand the exotic normal and superconducting states Challenges

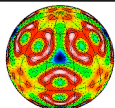
- "Map the genome" of high  $T_c$ : find the controlling factors
- Look for multiple pairing mechanisms
- Relate superconductivity to neighboring normal phases
- Find the simplifying emergent concepts

Superconductivity drives the frontier of complex materials



Basic Energy Sciences

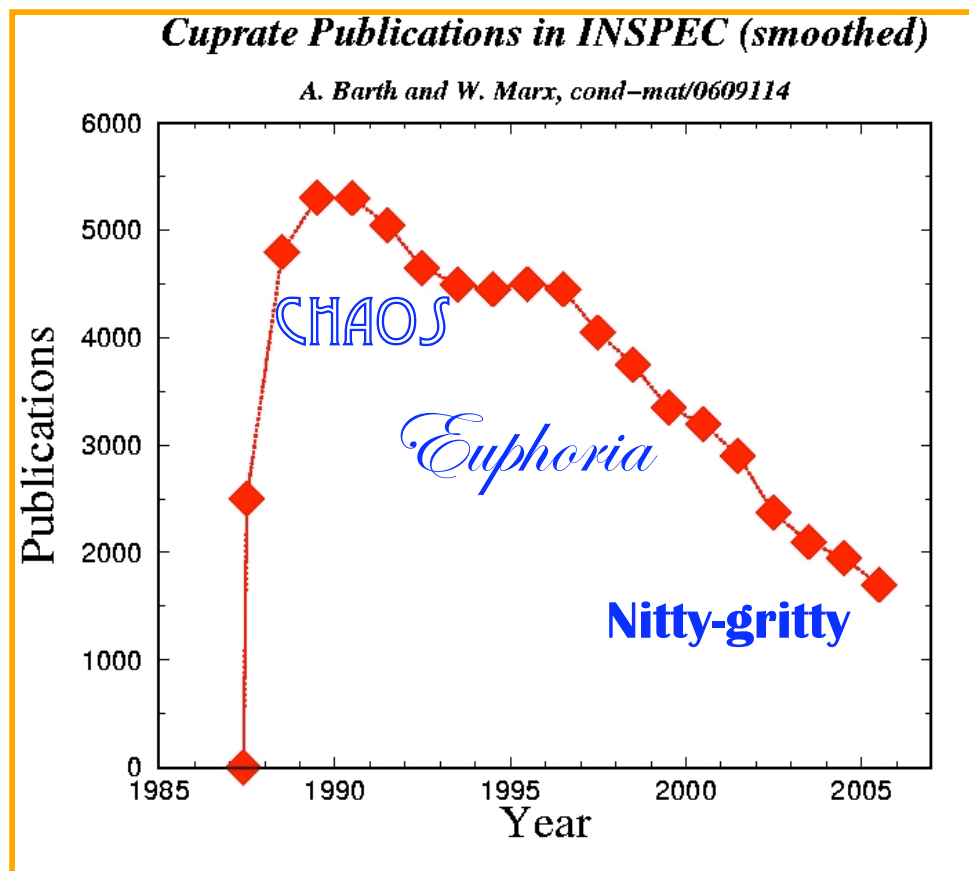
Workshop on Superconductivity May 8-11, 2006



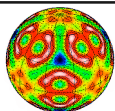
Basic Energy Sciences

BES Report on Basic Research Needs for Superconductivity  
<http://www.sc.doe.gov/bes/reports/abstracts.html#SC>

## Publication activity in HTS remains prodigious



It is essential to sustain the progress in HTS and the associated fundamental understanding and materials expertise that that is accumulating

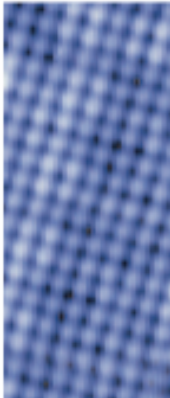




# 20th Anniversary of High $T_c$

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Nature  
March 2006



Towards a complete theory of high  $T_c$

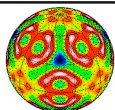
Given the successes of the microscopic theory of conventional superconductors, it seems natural to expect a similar all-encompassing theory for high-temperature superconductivity. But is it the best approach? Where are we heading?

Science  
Nov 2006

After 2 decades of monumental effort, physicists still cannot explain high-temperature superconductivity. But they may have identified the puzzles they have yet to solve

## High $T_c$ : The Mystery That Defies Solution

Synopsis: elaboration and acceptance of the **mechanism** of HTS mechanism is not imminent





# Proposed Mechanisms of HTS Superconductivity

[from D. J. Scalapino, gleaned from presentations at M2S-HTS, Dresden, July 2006]

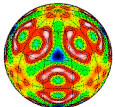
- Jahn-Teller bipolarons
- stripes (role of inhomogeneities)
- RVB-Gutzwiller projected BCS
- electron-phonon + U
- spin fluctuations
- charge fluctuations
- electric quadrupole fluctuations
- loop current fluctuations
- d-DW, d-CDW
- quantum critical point fluctuations
- competing phases
- Pomeranchuk instabilities
- d-to-d electronic excitations

$$\Sigma(\omega) = \text{[diagram of a wavy line on a horizontal line with an arrow]}, \quad \Delta\Gamma = \text{[diagram of a wavy line on a vertical line with an arrow]}$$

DJS: there is plenty of data available to decide between mechanisms

Possibility: there is *too much* data to decide between mechanisms

Is “mechanism” the question...?



What is needed to constitute .....

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## ***A Faithful and Convincing Mechanism of HTS***

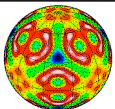
### *Faithful theory*

- \* *(semi)quantitative explanation of the observations that are central to **optimally doped HTS** (focus!)*
- \* *no spurious predictions*

### *Convincing theory*

- \* *majority of workers in the field accept the theory*
- \* *there are no seriously competing theories*
- \* *no `reasonably objective' person can disbelieve its general applicability*

*I.e. "BCS-like in its convincibility." Is this a **plausible goal**?*



What is needed to constitute .....

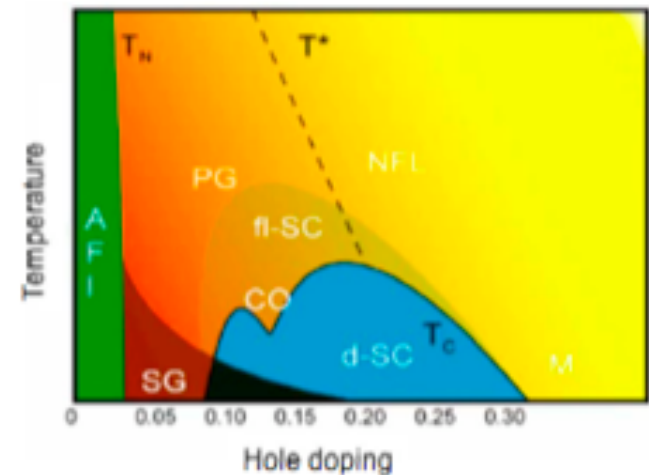
## ***A Faithful and Convincing Theory of HTS***

***In principle**, to discover the mechanism*

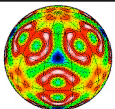
- \* focus on *optimally doped region*
- \* analogy: mag. impurities in BCS sc'or

***In practice**: entire phase diagram needs to be understood*

- \* majority of workers seem to accept this
- \* this is a much broader goal than 'the mechanism', it is 'the theory'



Complication: there are other similar phase diagrams in low- $T_c$  systems

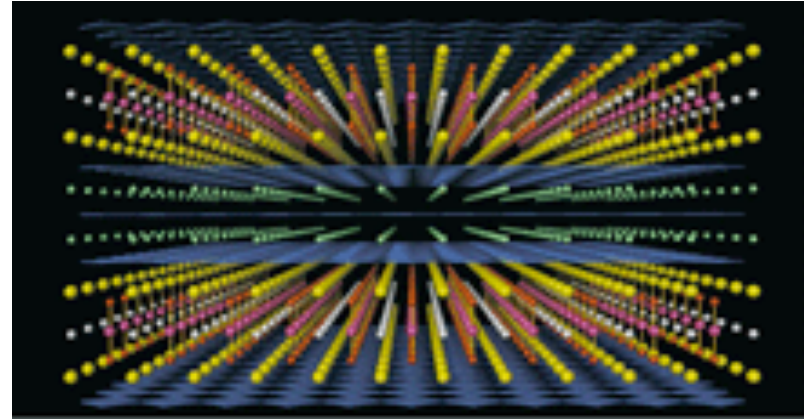


What are the broadest issues for .....

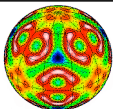
## ***A Faithful and Convincing Theory of HTS***

*First address the broadest issues*

Hg2223



- **SAMENESS:** *why layered cuprates and only cuprates?*
  - \* *all HTS have CuO<sub>2</sub> planes; no others are HTS*
  - \* *there are other quasi-2D doped insulating antiferromagnets; why only cuprates?*
- **VARIATION:** *why so much; what is the essence; what does it tell us?*



What may be needed to comprise .....

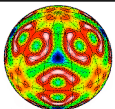
## ***A Faithful and Convincing Theory of HTS***

Several proposed mechanisms unify certain aspects of HTS

*Big issue: what **distinctions** need to be explained? Some propositions:*

- *Shape of Fermi surface (system dependent); effect on mechanism*
- *Value of  $T_c$  (within factor of two, with correct trends)*
- *Symmetry of superconducting order parameter*
- *Low  $E$  excitations: 1-particle; magnetic; phononic; other collective*
- *Inhomogeneities: patterns, connections to other phenomena*
- *Trend of  $T_c$  in cuprate classes: [Bi] < [Tl] < [Hg]*
- *Trend of  $T_c$  with number of  $\text{CuO}_2$  layers (maximum at 3 layers)*
- *Pressure dependence of  $T_c$ : theory must work at any volume*
- *(many, many more related to the entire HTS phase diagram)*

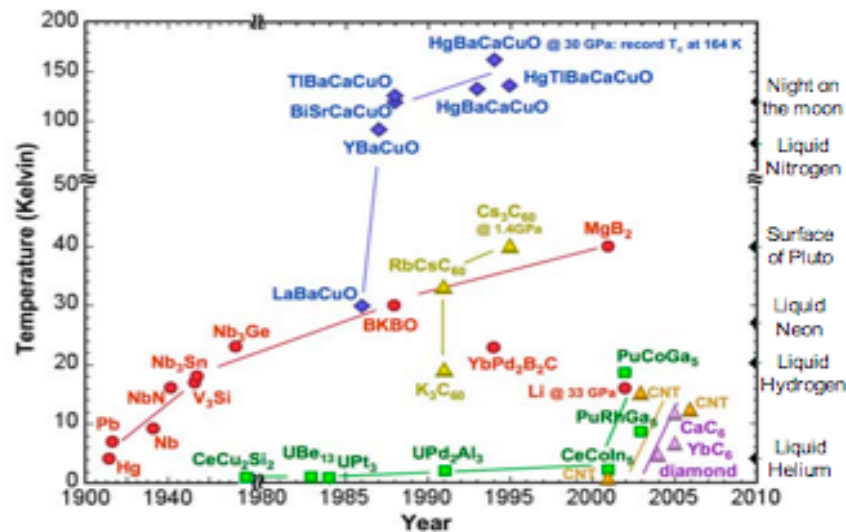
Theory of the entire phase diagram is a huge issue (an attractive one)



# Enabling Superconductivity - Find New Materials

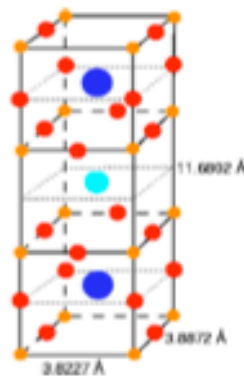
## Discover next-generation materials

- ~ 50 copper oxide superconductors
  - Highest  $T_c = 164$  K under pressure
  - Only class of high temperature superconductors?
- High  $T_c$  superconductors have 4 or more elements
- 55 superconducting elements
  - >  $55^4 \sim 10$  million quaternaries



### Develop search strategies for new superconductors

- Quaternary and higher compounds
- Layered structures
- Highly correlated normal states
- Charge-spin-structure interactions
- Quantum critical fluctuations
- Competing high temperature ordered phases



### Higher $T_c$ practical superconductors

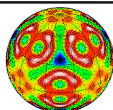
lower anisotropy ->  
higher critical current

BSCCO	> 100
YBCO	7
target	1



Basic Energy Sciences

Workshop on Superconductivity May 8-11, 2006



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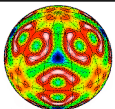
BES Report on Basic Research Needs for Superconductivity  
<http://www.sc.doe.gov/bes/reports/abstracts.html#SC>

## More on Mechanisms+Materials

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### ***Additional Developments in hTS Materials*** ***[hTS == unexpectedly high $T_c$ ]***

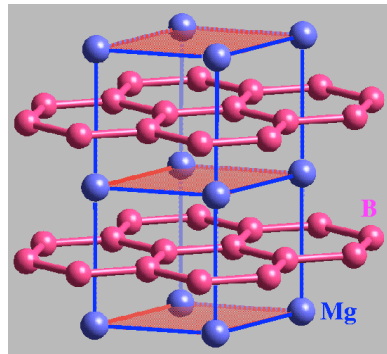
- 40:  $\text{MgB}_2$
- 40: Alkali-doped fullerenes
- 35:  $(\text{Ba},\text{K})\text{BiO}_3$  [BKBO] (discovered in 1986)
- 25: Alkali-doped  $\text{HfNCl}$ ,  $\text{ZrNCl}$
- 25: Elemental metals under pressure
- 19:  $\text{PuCoGa}_5$  a novel heavy fermion sc'or
- 18:  $\text{Y}_2\text{C}_3$  -- who ordered this one?
  
- 2D triangular lattice oxides & chalcogenides



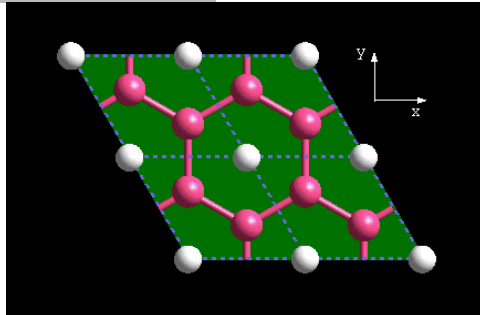


# MgB<sub>2</sub> is the champ (Akimitsu group, 2001)

1. MgB<sub>2</sub>: covalent bonds become metallic
2. Deformation potential  $D=13$  eV/A  
(amazingly large, especially for a metal)
3. 2D (cylinder) Fermi surfaces focus strength
4. Yet structure remains stable: intrinsic covalency



J. M. An and WEP, Phys. Rev. Lett. (2001)  
 J. Kortus et al., Phys. Rev. Lett. (2001)  
 Y. Kong et al., Phys. Rev. B (2001)  
 K.-P. Bohnen et al., Phys. Rev. Lett. (2001)  
 .....more.....



T. Yildirim (NIST)

Y. KONG, O. V. DOLGOV, O. JEPSEN, AND O. K. ANDERSEN

PHYSICAL REVIEW B 64 020501(R)

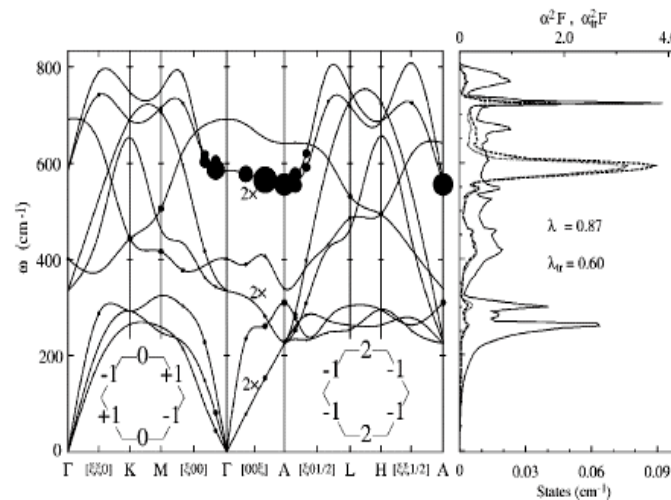
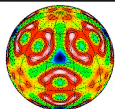


FIG. 1. Left: Calculated phonon dispersion curves in MgB<sub>2</sub>. The area of each circle is proportional to the mode  $\lambda$ . The insets at the bottom show the two  $\Gamma_1 E$  eigenvectors (not normalized), which apply to the holes at the top of the  $\sigma$  bands (bond-orbital coefficients) as well as to the optical bond-stretching phonons (relative change of bond lengths). Right:  $F(\omega)$  (full curve and bottom scale),  $\alpha^2(\omega)F(\omega)$  (broken), and  $\alpha_r^2(\omega)F(\omega)$  (dotted). See text.



# Yttrium Sesquicarbide $Y_2C_3$

## *Coupling to high frequencies?*

Simple cubic Bravais lattice  
of  $Y_8C_{12}$  primitive cells

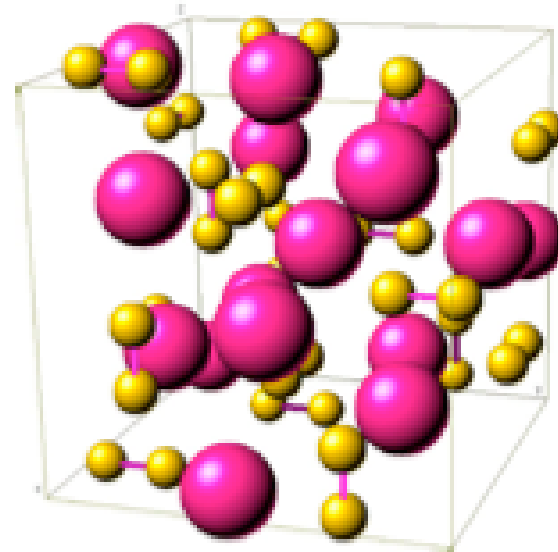
Distinctive feature:  
triply-bonded  $C_2$  dimers

Singh & Mazin, 2004

$C_2$  dimer state near  $E_F$

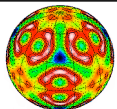
$A_g$  modes: 120 K, 1000 K

Coupling to hard  $C_2$  mode  
may be important for the 'high'  $T_c$

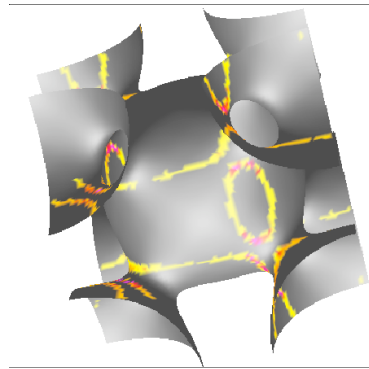
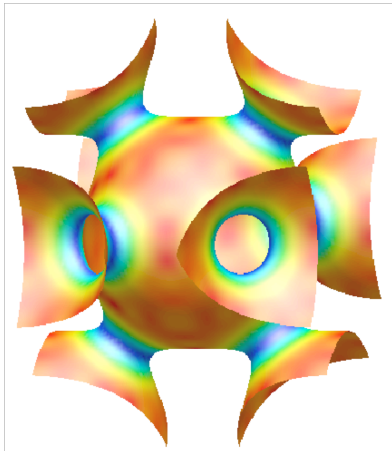


$T_c = 18$  K (Akimitsu group)

[ $T_c(\text{La}_2\text{C}_3) = 11$  K]

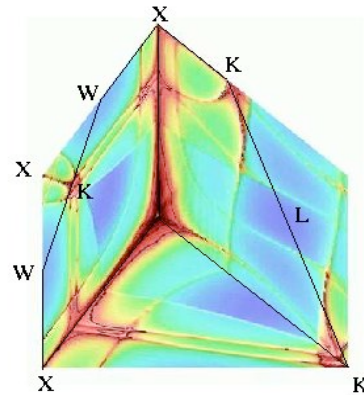


# Pressure as a Tool to Produce Superconductors: Elemental Metals under Pressure: $T_c=20-25K$



Nesting function  
in three planes

**Lithium**

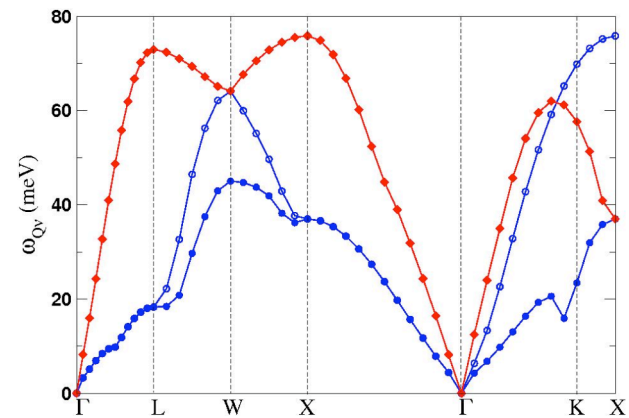


$$\xi_{\vec{Q}} = \sum_{\mathbf{k}} \delta(\varepsilon_{\mathbf{k}}) \delta(\varepsilon_{\mathbf{k}+\mathbf{Q}}) = V_c \int_{\mathcal{L}} \frac{d\mathcal{L}(\mathbf{k}, \mathbf{Q})}{|\vec{v}_{\mathbf{k}} \times \vec{v}_{\mathbf{k}+\mathbf{Q}}|}$$

Li:  $T_c$  up to 20 K

Y:  $T_c$  up to 20 K

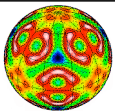
Ca:  $T_c$  up to 25 K



fcc Li: strong coupling,  
phonon anomalies,  
instabilities under pressure

Eliashberg theory: UCDavis

DFT for superconductors: Berlin, Gross et al.



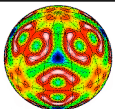
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## *Observations about Carrier-doped Layered Transition Metal 'Oxides'*

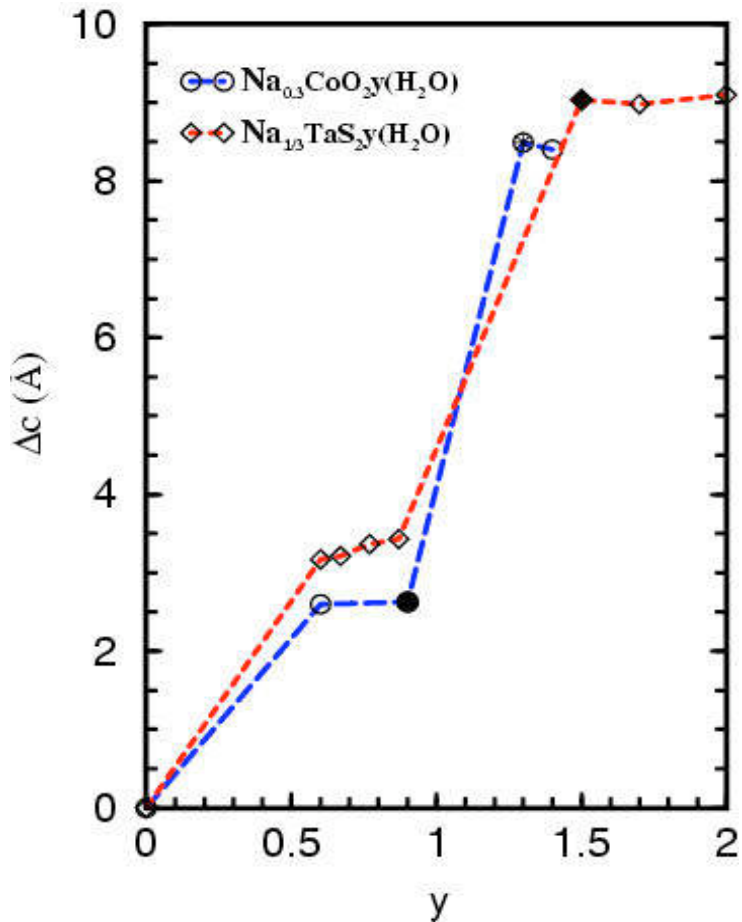
- Electron-doped TaS<sub>2</sub>
- Hole-doped LiNbO<sub>2</sub>
- Hole-doped NaCoO<sub>2</sub> (hydrated)
- Electron-doped TiSe<sub>2</sub>

## *Observation about Carrier-doped Layered Transition Metal Nitride*

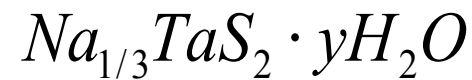
- Electron-doped ZrNCl, HfNCl



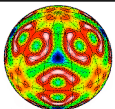
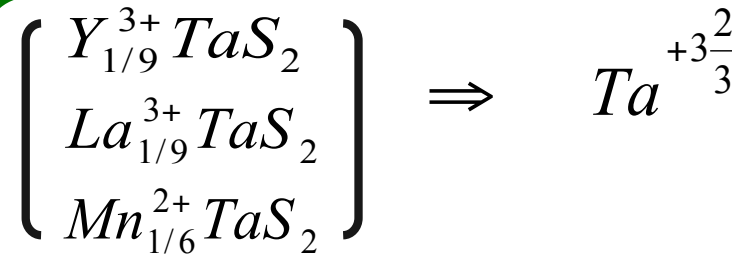
## Co-Intercalated Layered Dichalcogenides (D. C. Johnston et al., 1983-4)



Several distinct phases  
 $y=0, 2/3, 0.8, 3/2, 2$



All have  $T_c = 4\text{-}5\text{ K}$

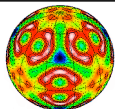
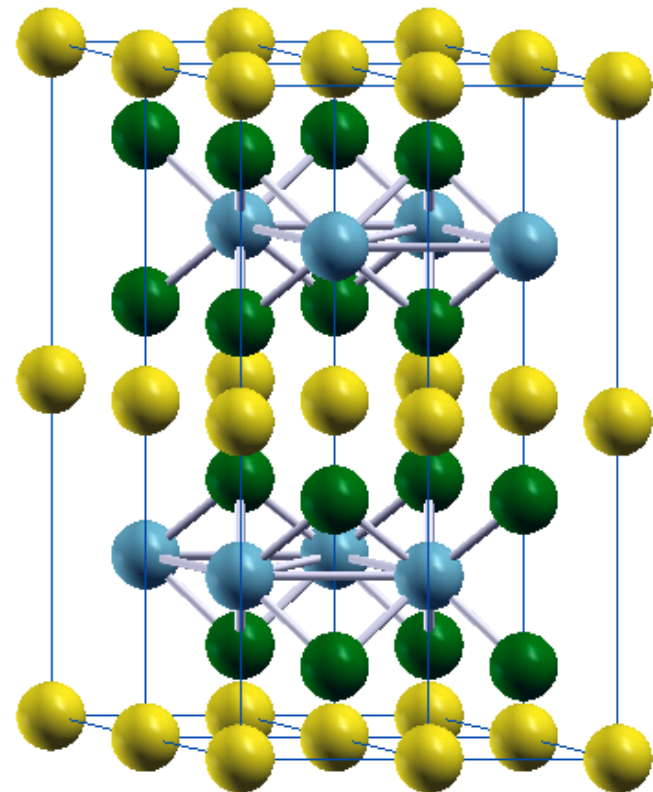


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*$\text{Li}_{1-x}\text{NbO}_2$ : 5 years after HTS  
(Stacy group, 1991)*

$T_c = 5.5 \text{ K}$

- Layered TM oxide
- Trigonal-prismatic coordination
- Triangular lattice
- Nb  $d^{1+x}$  configuration
- Single  $d(z^2)$  band is occupied
- Hole-doped from semiconductor
  
- Single-band triangular lattice system
- Superconducting in a wide range around  $x \sim 0.5$





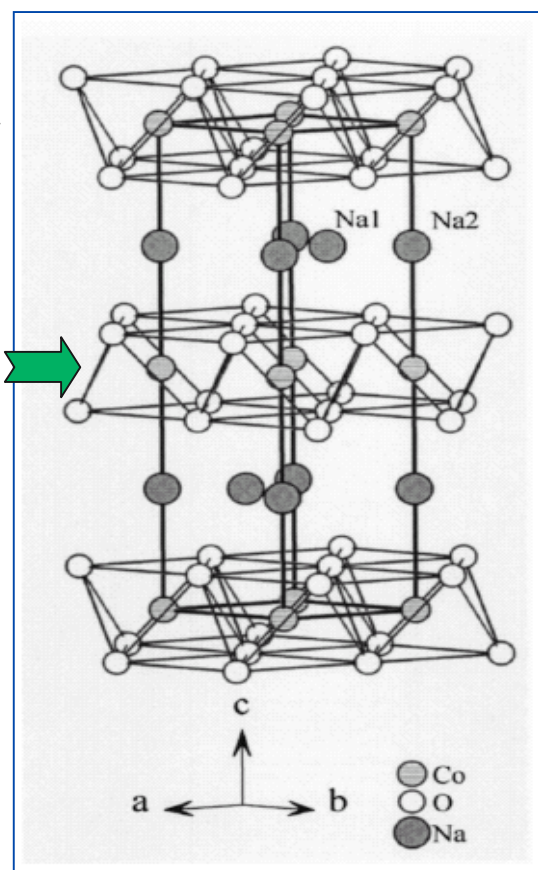
# $Na_{1-x}CoO_2$ , the Dehydrated Superconductor [add water!]

$T_c = 4.5 \text{ K}$

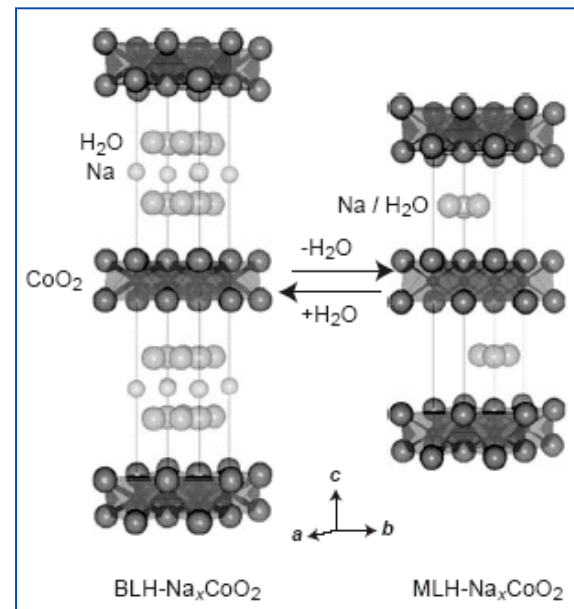
Triangular lattice  
Hole-doped from  
 $Co^{3+}$  semiconductor  
Octahedral  $CoO_6$

Edge-sharing  
 $CoO_6$   
octahedra

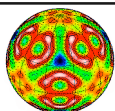
Superconducting  
around  $x \sim 0.3$



Jorgensen et al. (2003)



Takada et al., *Nature* **422**, 53 (2003);  
*Adv. Mater.* **16**, 1901 (2004)



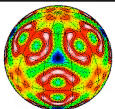
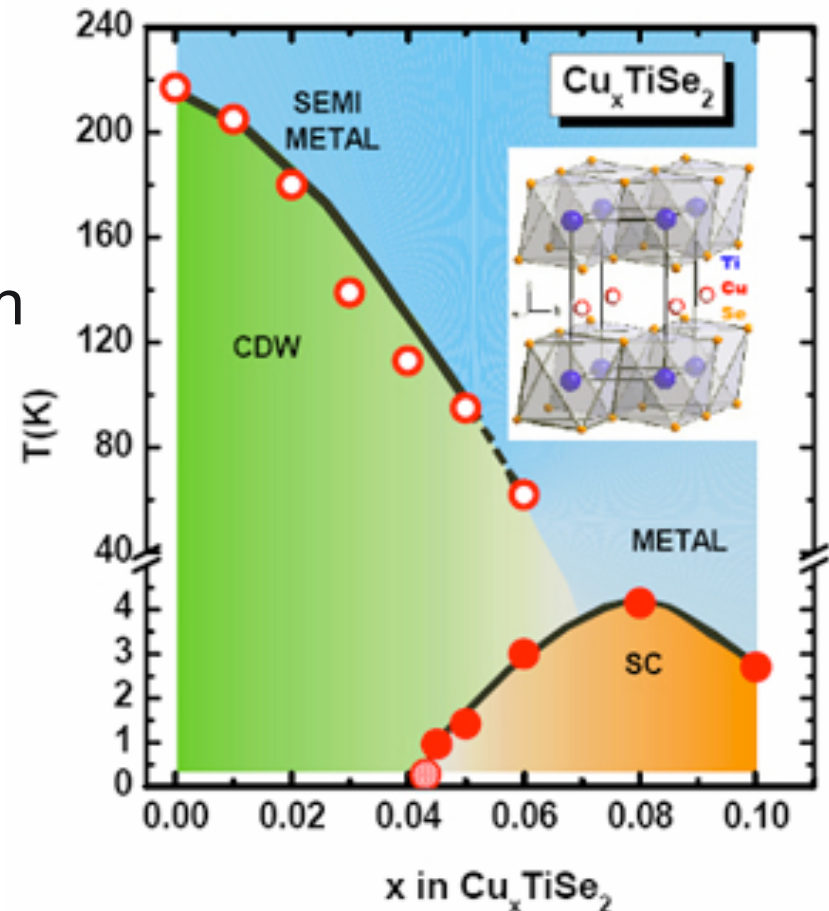


# $Cu_xTiSe_2$ : CDW $\rightarrow$ Superconductivity

Morosan et al. (2005)

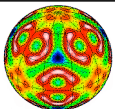
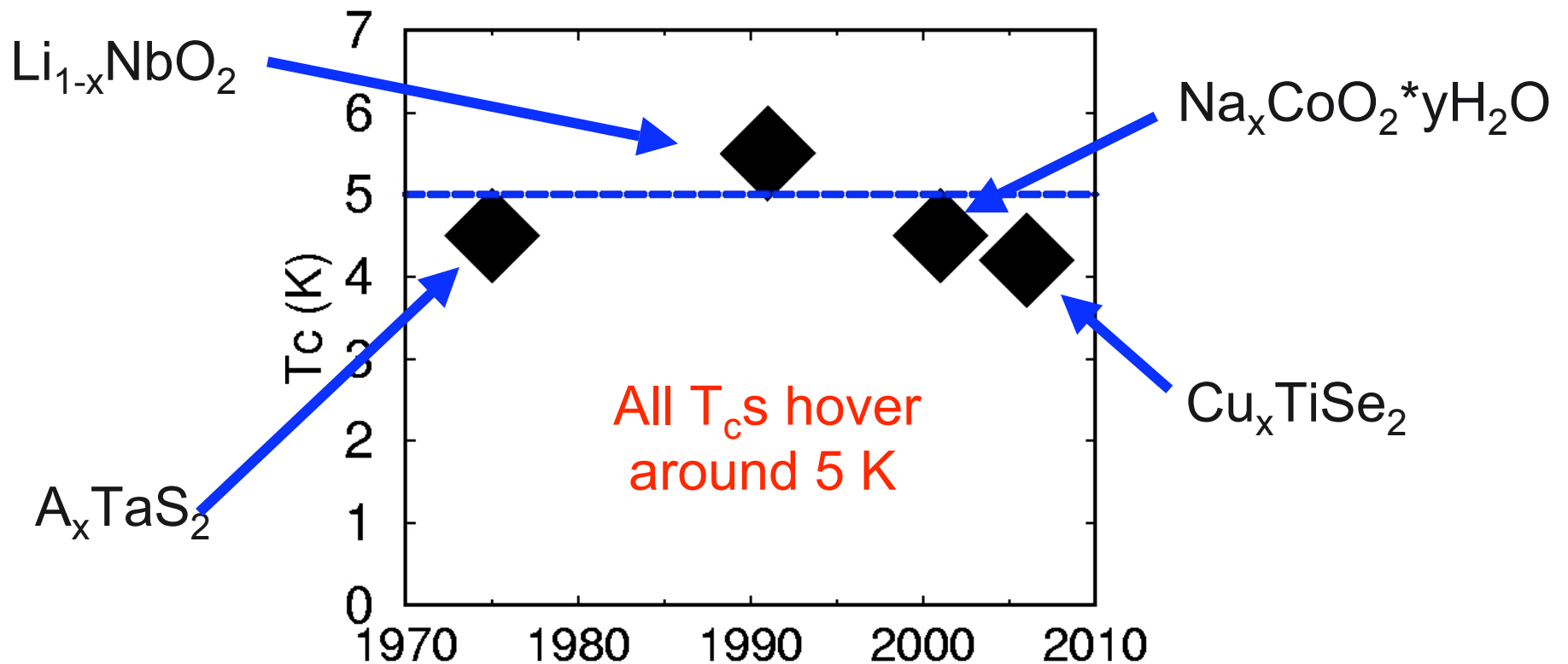
- Layered 2D TM chalcogenide
- Triangular lattice system
- Trigonal-prismatic coordination
- CDW has long been studied
- Nominal  $d^0$  Ti configuration
- Electron-doped  $\rightarrow$  sc'y

Maximum  $T_c = 4.2K$  at  $x = 0.08$

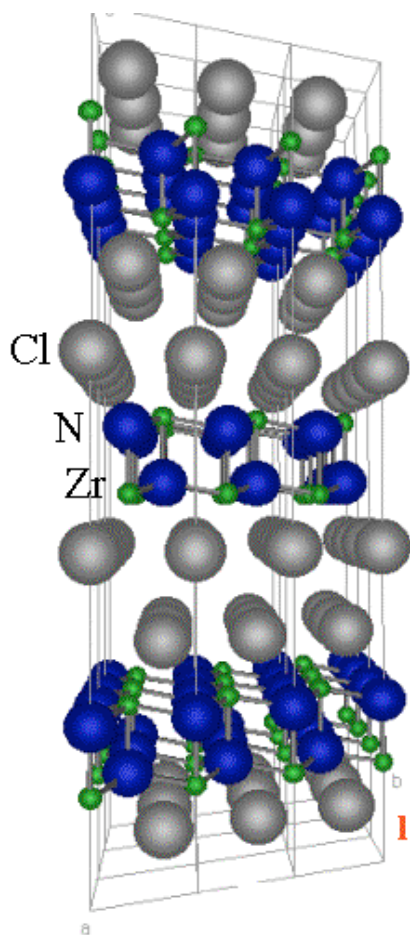


## Synopsis: $T_c$ in 2D Triangular Oxides/Chalcogenides

Triangle Lattice Transition Metal Chalcogenides



# Alkali-doped $A_x\text{ZrNCl}$ (15 K) & $A_x\text{HfNCl}$ (25 K)



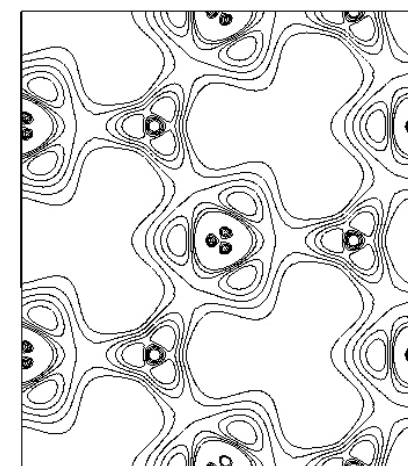
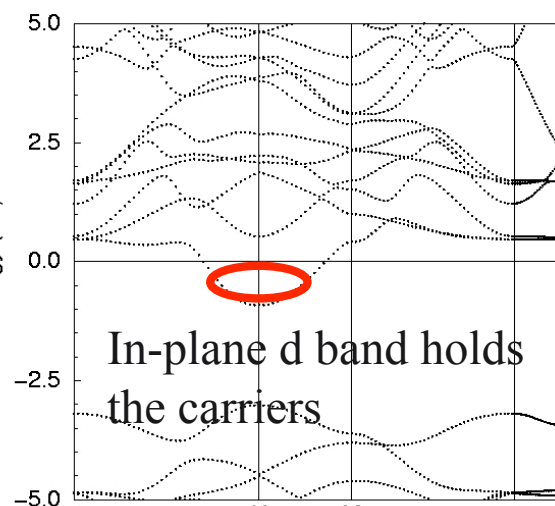
SmSI type layer structure

Structure is somewhat MgB<sub>2</sub>-like; so is it electron-phonon?

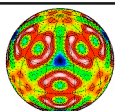
Heid & Bohnen (2006) el-ph coupling strength is not large enough

Bill et al. (2003) Coupling to/ screening by low energy plasmons may be important

- Double Zr-N layer, corrugated graphitic
- Strongly 2D bands
- Electron-doped
- Inverse 'isotope shift'

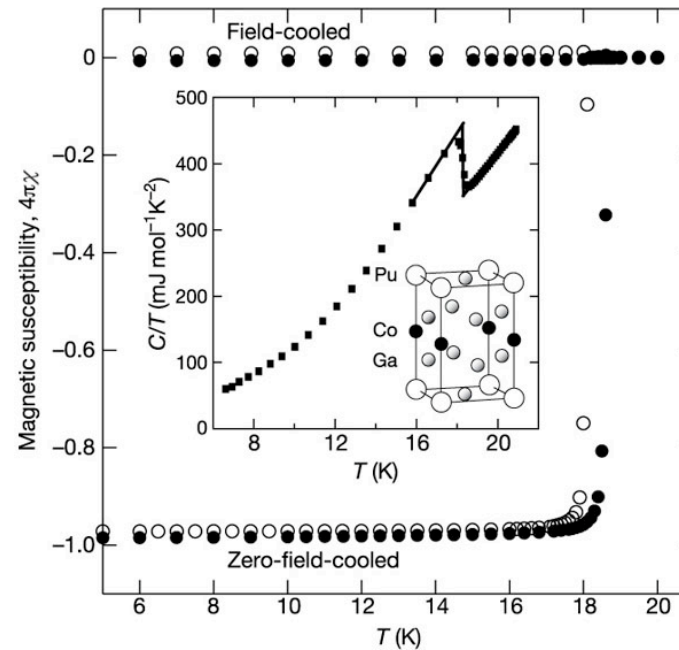
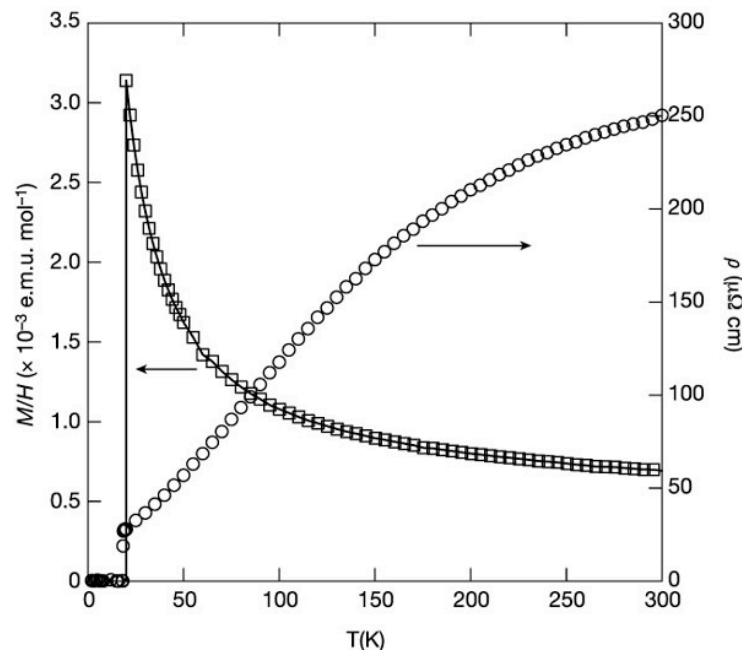


Superconductor-insulator transition at  $x=0.06$

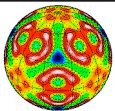


# *PuCoGa<sub>5</sub>: 18.5 K (Sarrao et al. 2002)*

*Order of magnitude higher than previous heavy fermion sc'y*



Other heavy fermion superconductors:  $T_c < 2$  K  
PuCoGa<sub>5</sub> may provide the key to HF sc'y mechanism

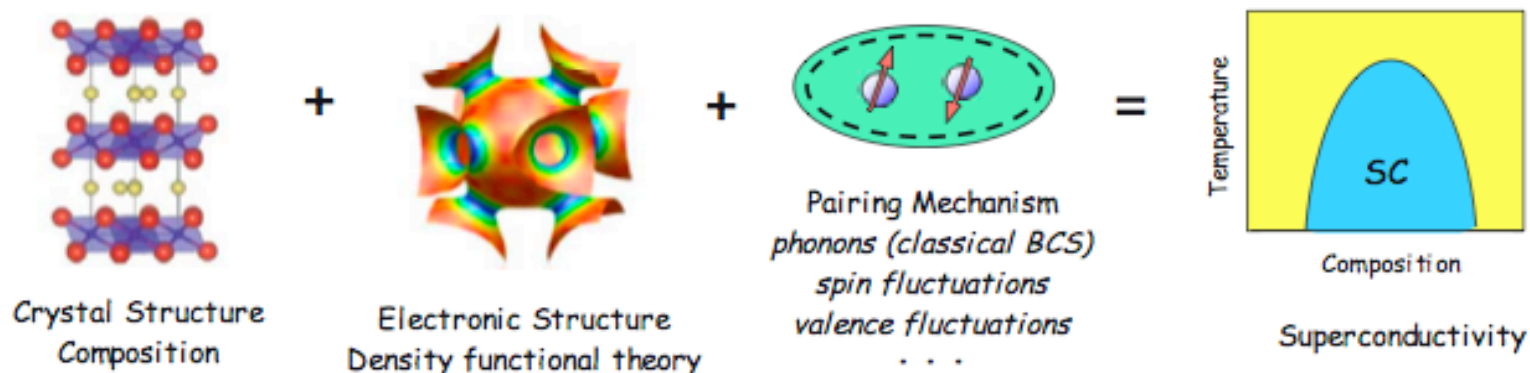


## Enabling Superconductivity - Superconductors by Design

Discovery by serendipity: Hg (1911), copper oxides (1986),  $\text{MgB}_2$  (2001),  $\text{NaCoO}_2 \cdot \text{H}_2\text{O}$  (2003)

Discovery by empirical guidelines: competing phases, layered structures, light elements, . . .  
B-doped diamond (2004),  $\text{CaC}_6$  (2005)

*Create a paradigm shift to superconductors by design*



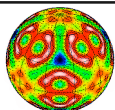
Challenges: computationally designed superconductors

- Electronic structure calculation by density functional theory
- Large scale phonon calculations in nonlinear, anharmonic limit
- Formulate "very strong" electron-phonon coupling (beyond Eliashberg)
- Determine quantitative pairing mechanisms for high temperature SC



Basic Energy Sciences

Workshop on Superconductivity May 8-11, 2006



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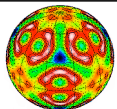
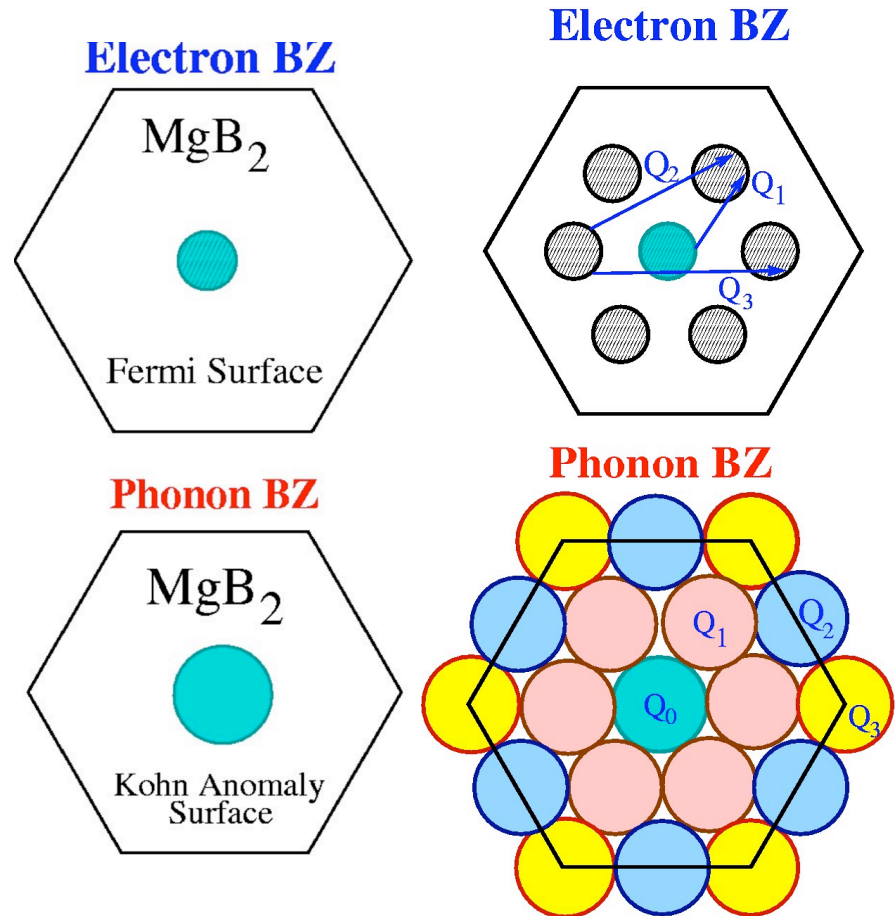
BES Report on Basic Research Needs for Superconductivity  
<http://www.sc.doe.gov/bes/reports/abstracts.html#SC>

# Design of new superconductors: is it viable?

## Rational Design/Search for new hTS

Example of one design criterion, enabled by understanding of mechanism

Select band structure to enable the phonons to use more of the Brillouin zone





# Database driven design/search

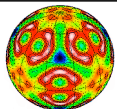
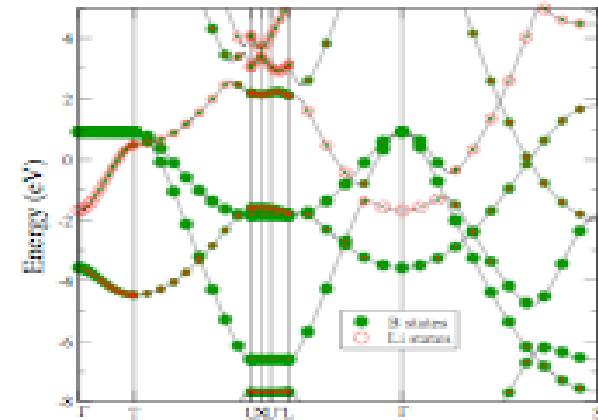
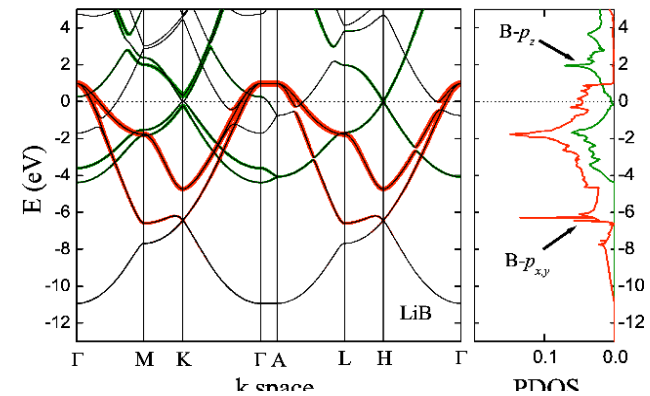
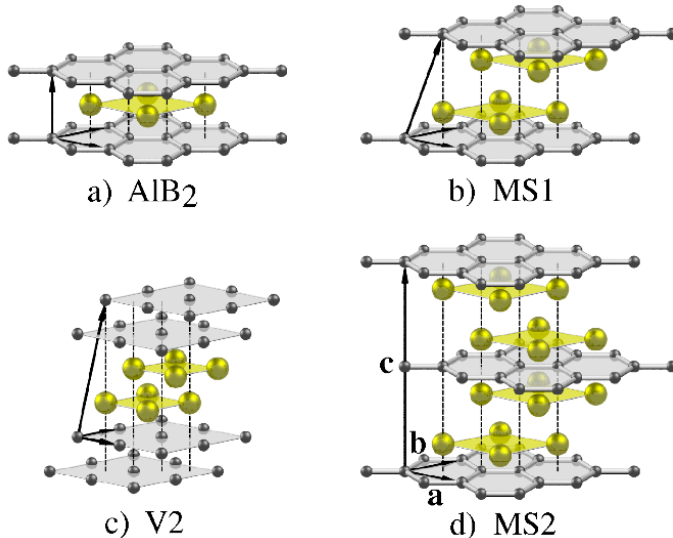
.....imposing phase stability.

Example: Design of  $\text{Li}_2\text{B}_2$  ("MgB<sub>2</sub>").  
 Considered several structures.  
 Checked stability. Calc'd phonons.

*Rational Design/Search for new hTS*

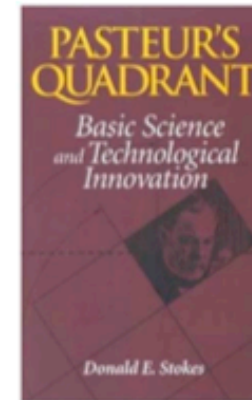
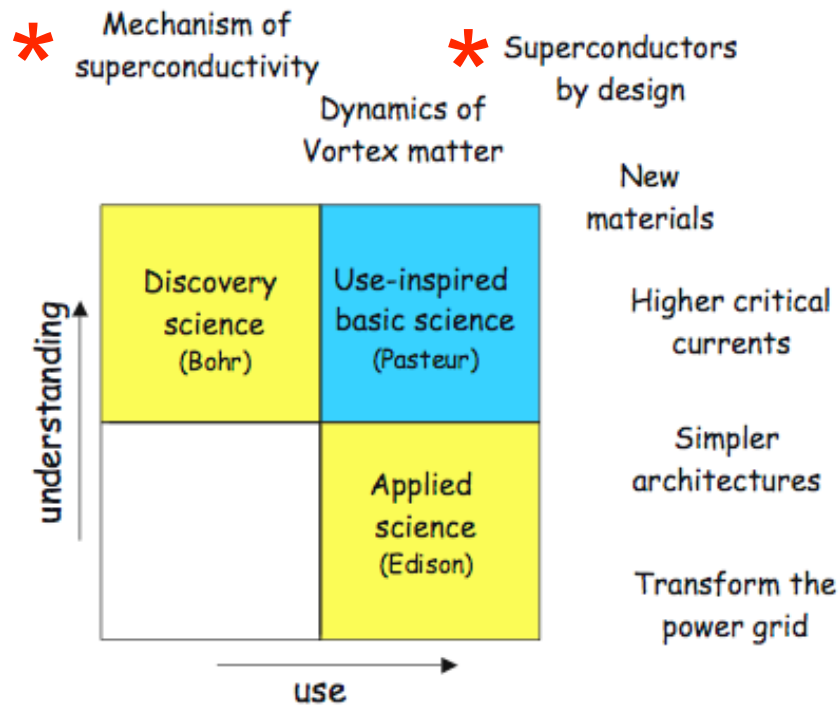
Kolmogorov, Curtarolo, Calandra  
 cond-mat/0603304, 0701199

$T_c \sim 15$  K calculated





## Superconductivity - Pasteur's Science



phenomena, performance, applications strongly linked  
breakthroughs in one area drive breakthroughs in others

