

# ASTERIODS: STEPPING STONES TO THE FUTURE OF SPACE EXPLORATION

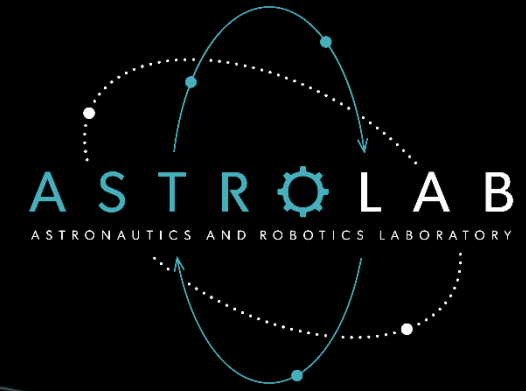
Michael C.F. Bazzocchi, Ph.D., P.Eng.

*Astronautics and Robotics Laboratory (ASTRO Lab),  
Clarkson University*

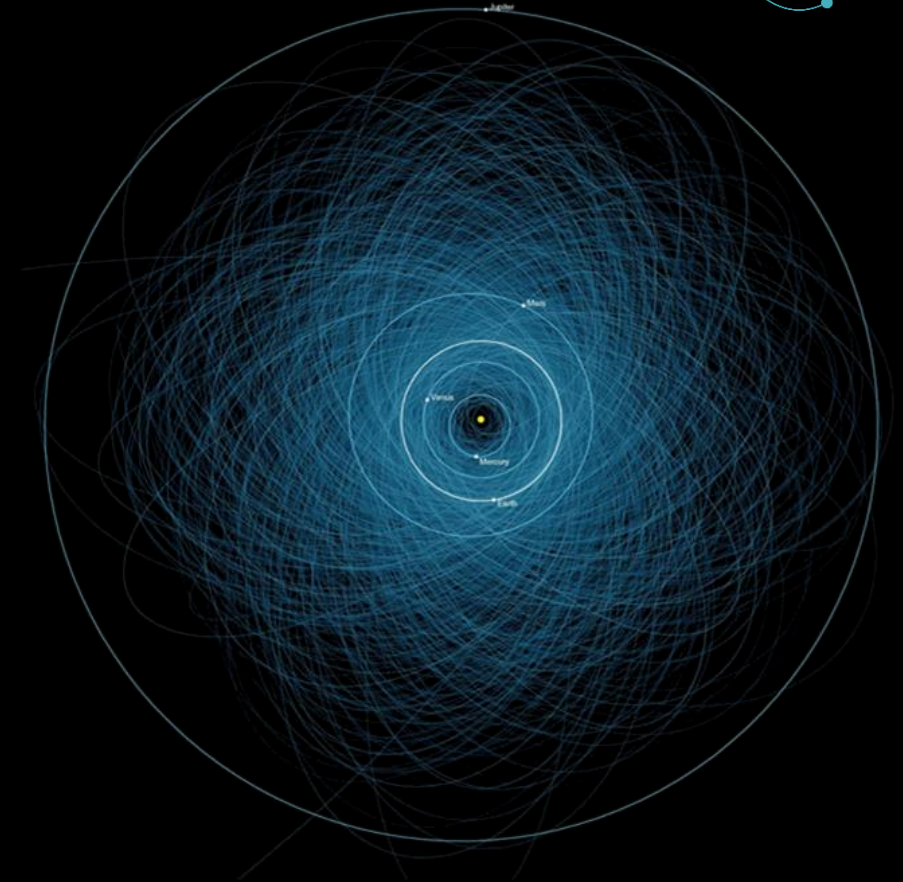
September 28, 2022

Seminar for the American Physical Society (APS) - Virtual

# OVERVIEW



1. Introduction to the ASTRO Lab
2. What is asteroid engineering?
3. Why are we interested in asteroids?
4. What are the challenges and opportunities moving forward?



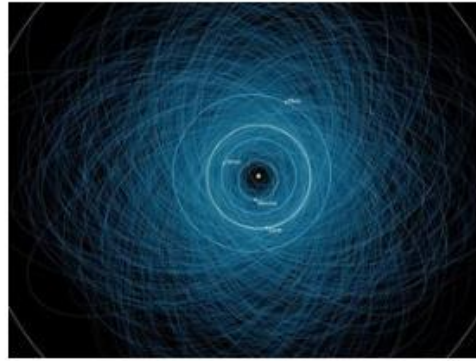
## Astronautics



### Asteroid Science & Engineering

Exploring the potential of small solar system bodies

[Read more](#)



### Orbital Dynamics & Control

Planning trajectories and controlling the motion of spacecraft

[Read more](#)

## Robotics



### Space Robotics

Advancing space manipulation and exploration through robotics

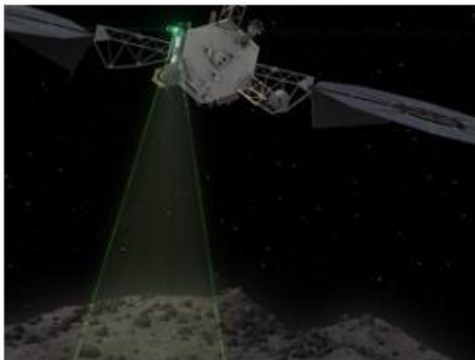
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### Terrestrial Robotics

Developing intelligent robots for the world's most challenging environments

[Read more](#)



### Mission & Systems Design

Analyzing space systems and formulating concepts for new missions

[Read more](#)



### Satellites, Formations, & Debris

Tackling space debris, designing formations and miniaturizing spacecraft

[Read more](#)



### Industrial Robotics

Autonomizing robots for complex industrial challenges and applications

[Read more](#)



### Personal & Assistive Robotics

Creating new robotic solutions that benefit people in their daily lives

[Read more](#)

# A S T R O L A B

ASTRONAUTICS AND ROBOTICS LABORATORY

## Facilities & Equipment



## Robotic systems



2022-10-19

## Examples of Active Research

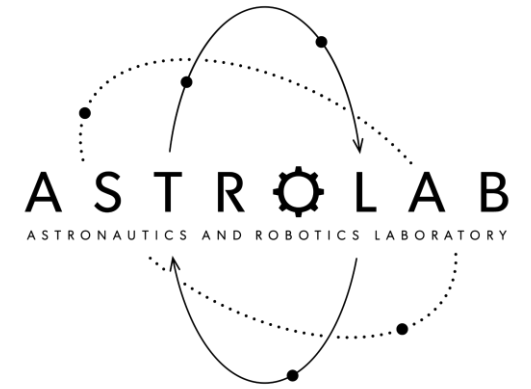
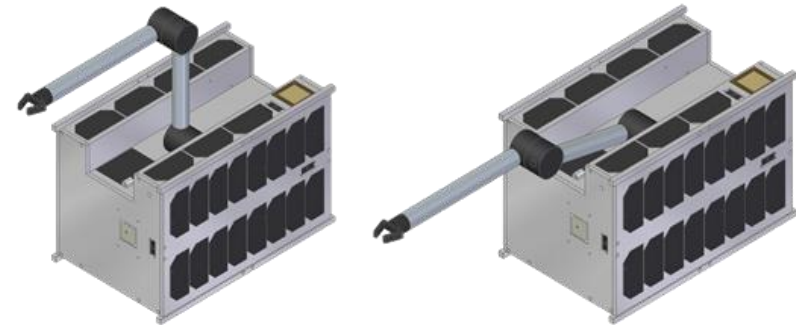
### On-orbit tracking and formation flying



### Exoskeletons



### Space debris removal & space systems



### Space solar power & on-orbit assembly

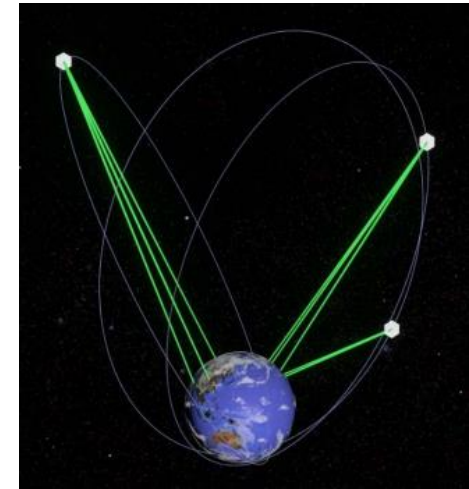
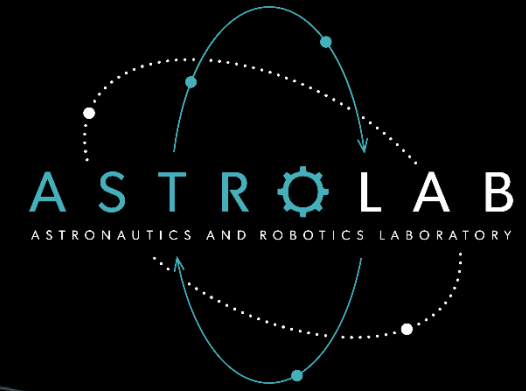
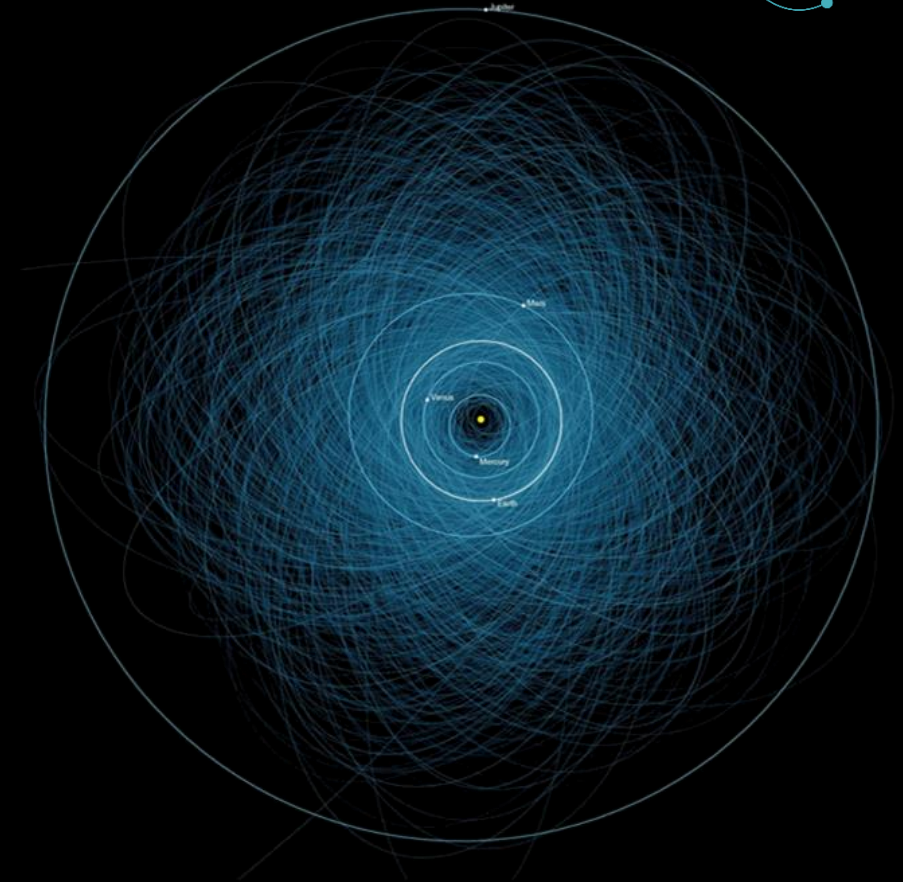


Image: Virtus Solis Technologies

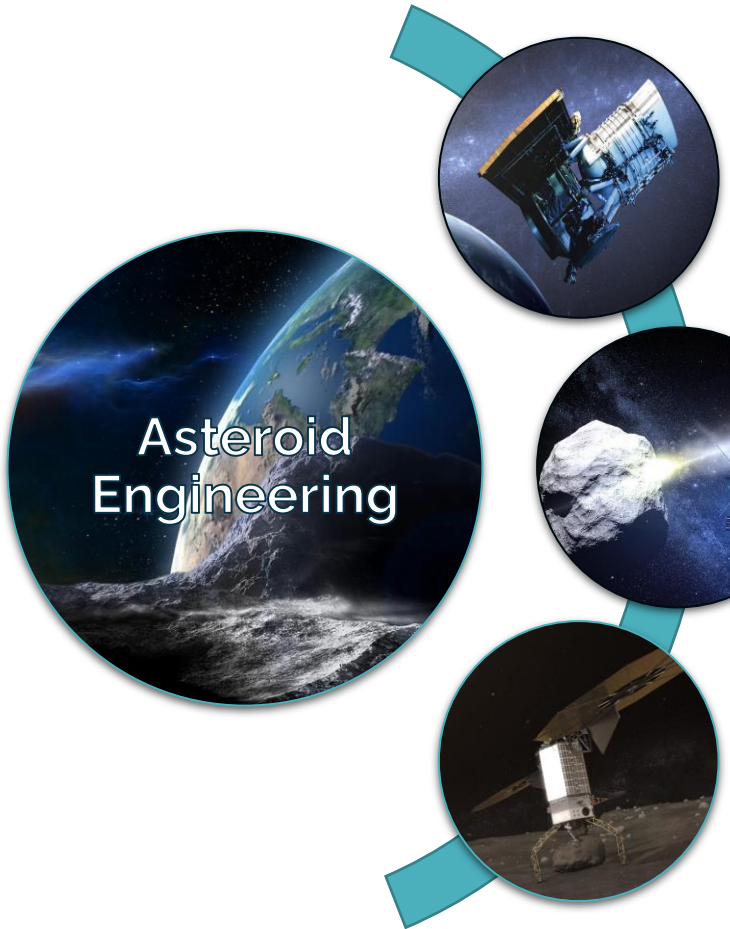
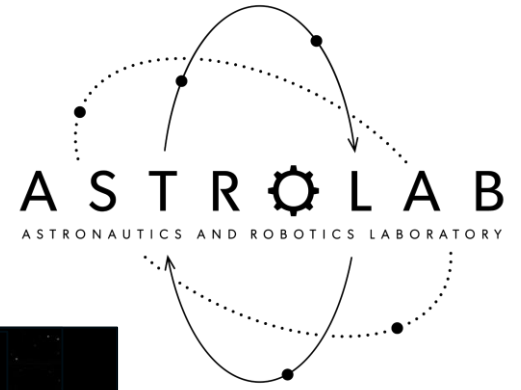
# OVERVIEW



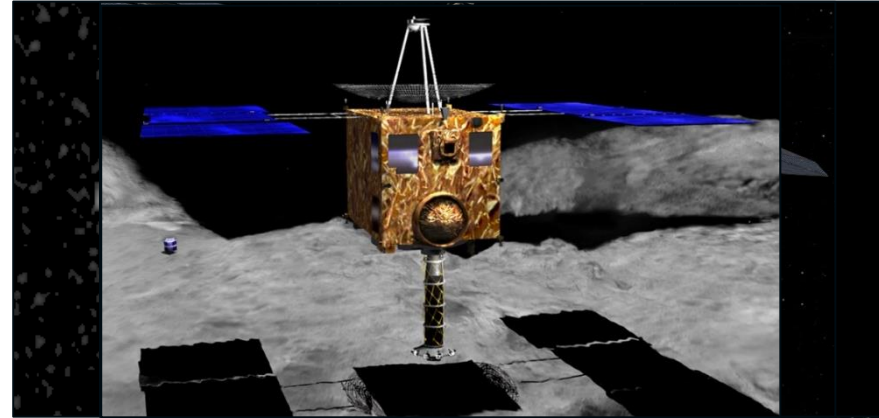
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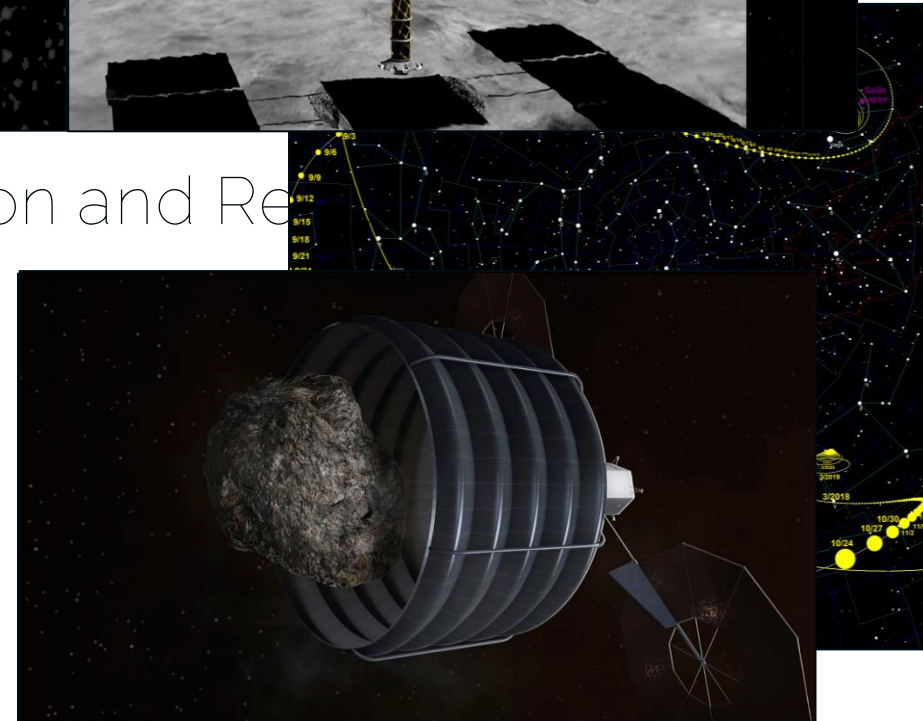
# ASTEROID ENGINEERING



Discovery

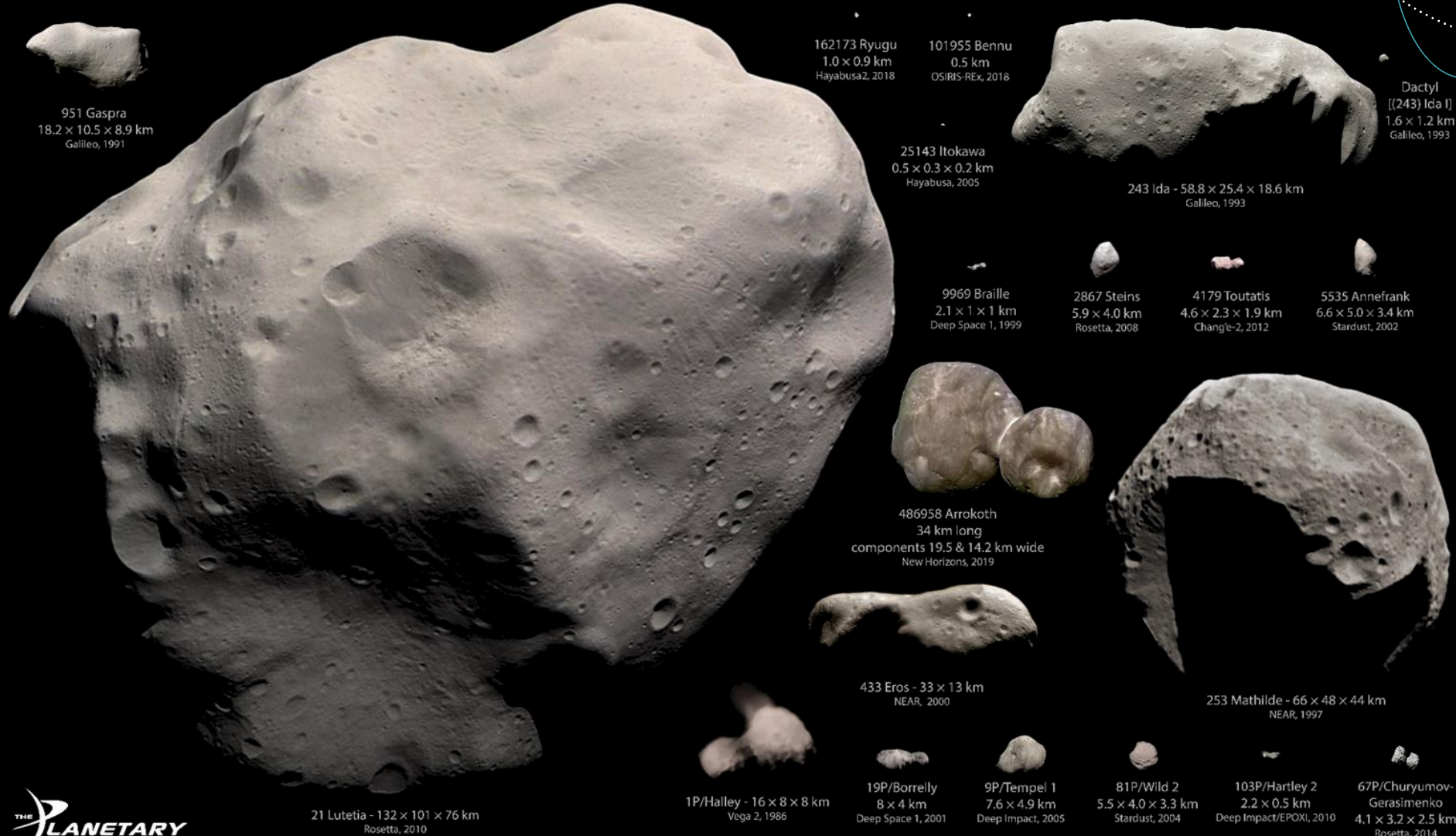


Exploration and Re



Mining

# ASTEROIDS



951 Gaspra  
18.2 × 10.5 × 8.9 km  
Galileo, 1991

162173 Ryugu  
1.0 × 0.9 km  
Hayabusa2, 2018

101955 Bennu  
0.5 km  
OSIRIS-REx, 2018

25143 Itokawa  
0.5 × 0.3 × 0.2 km  
Hayabusa, 2005

243 Ida - 58.8 × 25.4 × 18.6 km  
Galileo, 1993

Dactyl  
[[243] Ida I]  
1.6 × 1.2 km  
Galileo, 1993

9969 Braille  
2.1 × 1 × 1 km  
Deep Space 1, 1999

2867 Steins  
5.9 × 4.0 km  
Rosetta, 2008

4179 Toutatis  
4.6 × 2.3 × 1.9 km  
Chang'e-2, 2012

5535 Annefrank  
6.6 × 5.0 × 3.4 km  
Stardust, 2002

486958 Arrokoth  
34 km long  
components 19.5 & 14.2 km wide  
New Horizons, 2019

21 Lutetia - 132 × 101 × 76 km  
Rosetta, 2010

1P/Halley - 16 × 8 × 8 km  
Vega 2, 1986

19P/Borrelly  
8 × 4 km  
Deep Space 1, 2001

9P/Tempel 1  
7.6 × 4.9 km  
Deep Impact, 2005

81P/Wild 2  
5.5 × 4.0 × 3.3 km  
Stardust, 2004

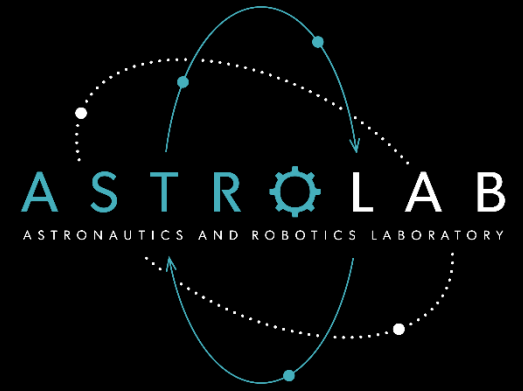
103P/Hartley 2  
2.2 × 0.5 km  
Deep Impact/EPOXI, 2010

67P/Churyumov-Gerasimenko  
4.1 × 3.2 × 2.5 km  
Rosetta, 2014





# ASTEROIDS

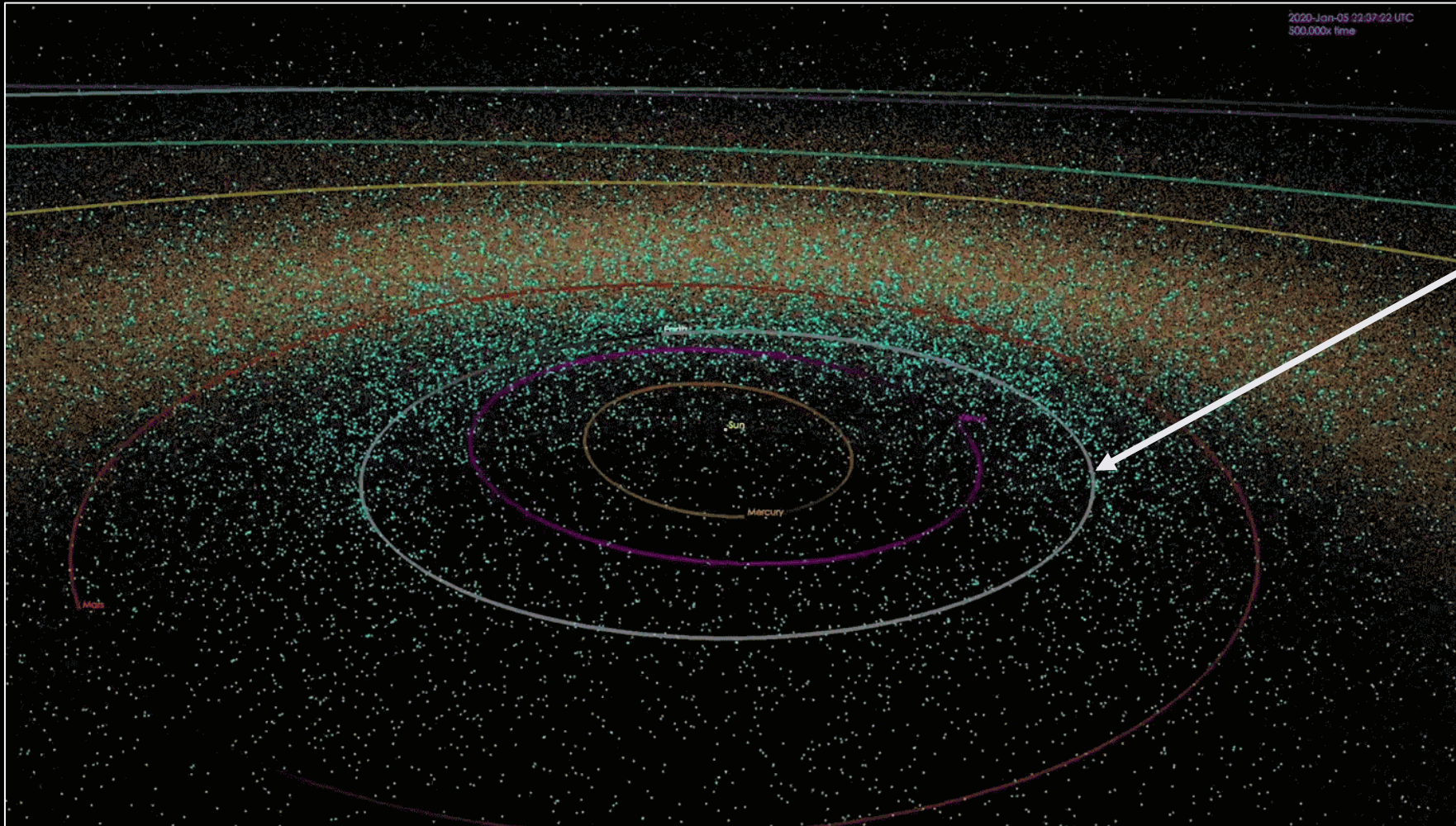
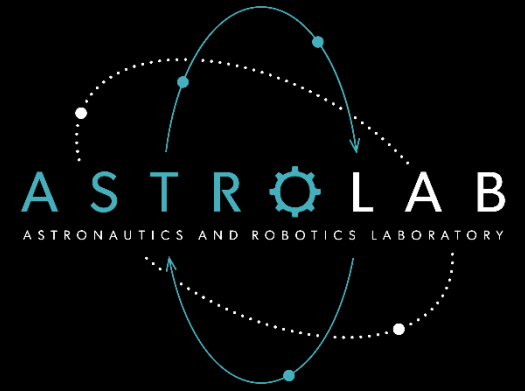


[Image credit: ESA]

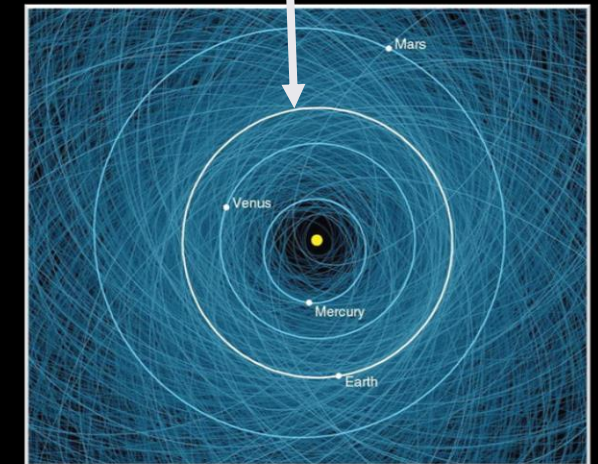


[Image credit: NASA/JPL-Caltech]

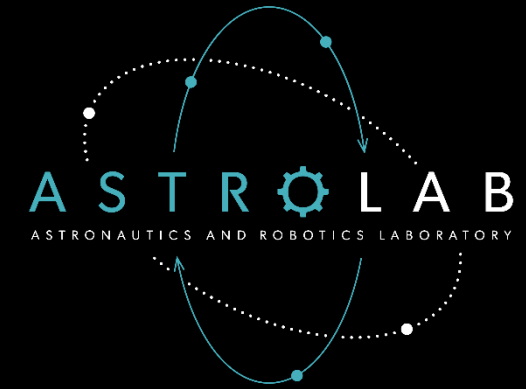
# ASTEROIDS



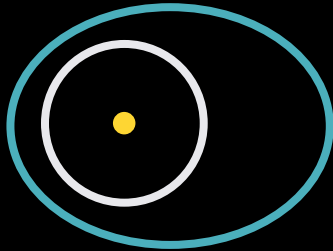
Earth's Orbit



# ASTEROIDS

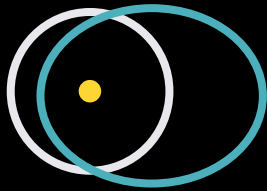


Amors



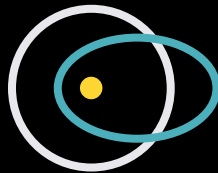
$$a > 1.0 \text{ au}$$
$$1.017 \text{ au} < q < 1.3 \text{ au}$$

Apollos



$$a > 1.0 \text{ au}$$
$$q < 1.017 \text{ au}$$

Atens



$$a < 1.0 \text{ au}$$
$$Q > 0.983 \text{ au}$$

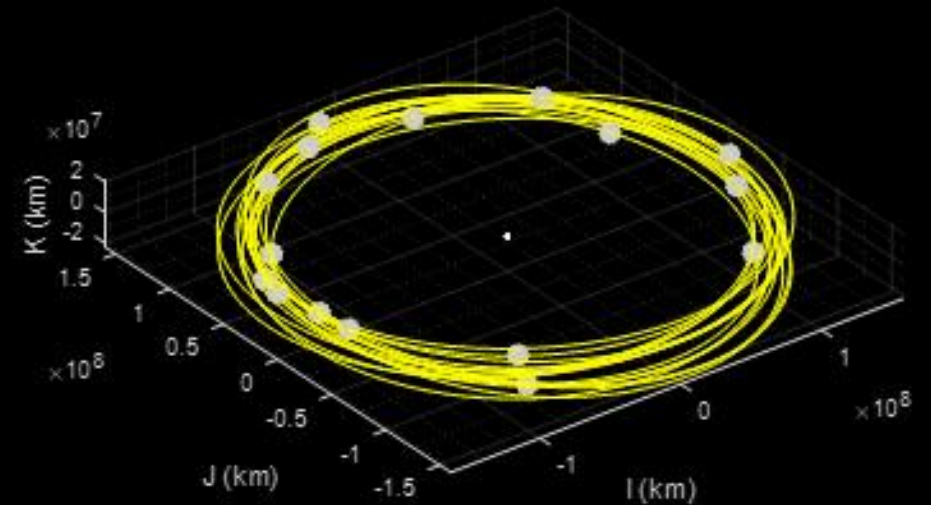
Atiras



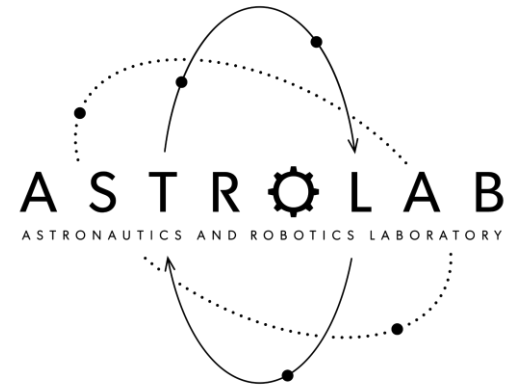
$$a < 1.0 \text{ au}$$
$$Q < 0.983 \text{ au}$$

Arjuna

$$0.985 \text{ au} < a < 1.013 \text{ au}$$
$$0 < e < 0.1$$
$$0^\circ < i < 8.56^\circ$$



# ASTEROIDS



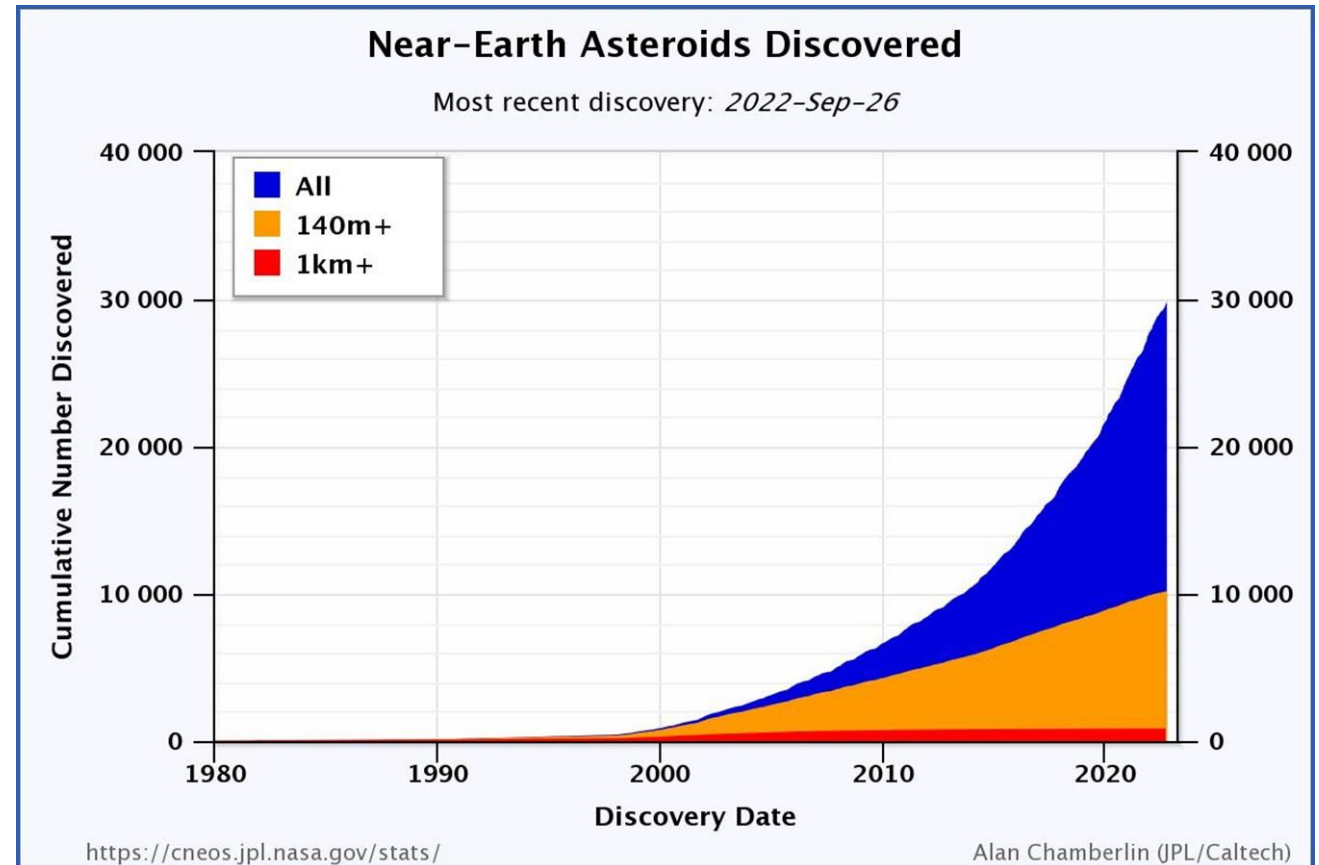
Observed Population (2022-Sep-26)

- Total: 29,901

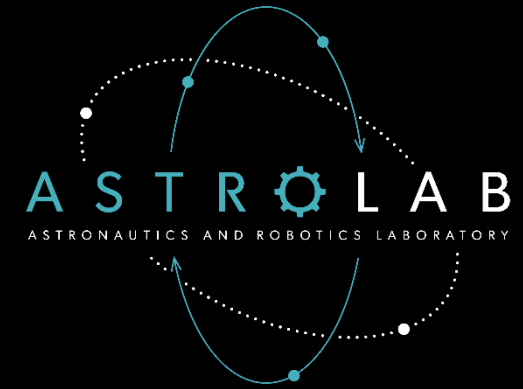
*Diameter larger than:*

- 1 km: 856
- 140 m: 10,206

So, how many near-Earth asteroids are estimated to exist?



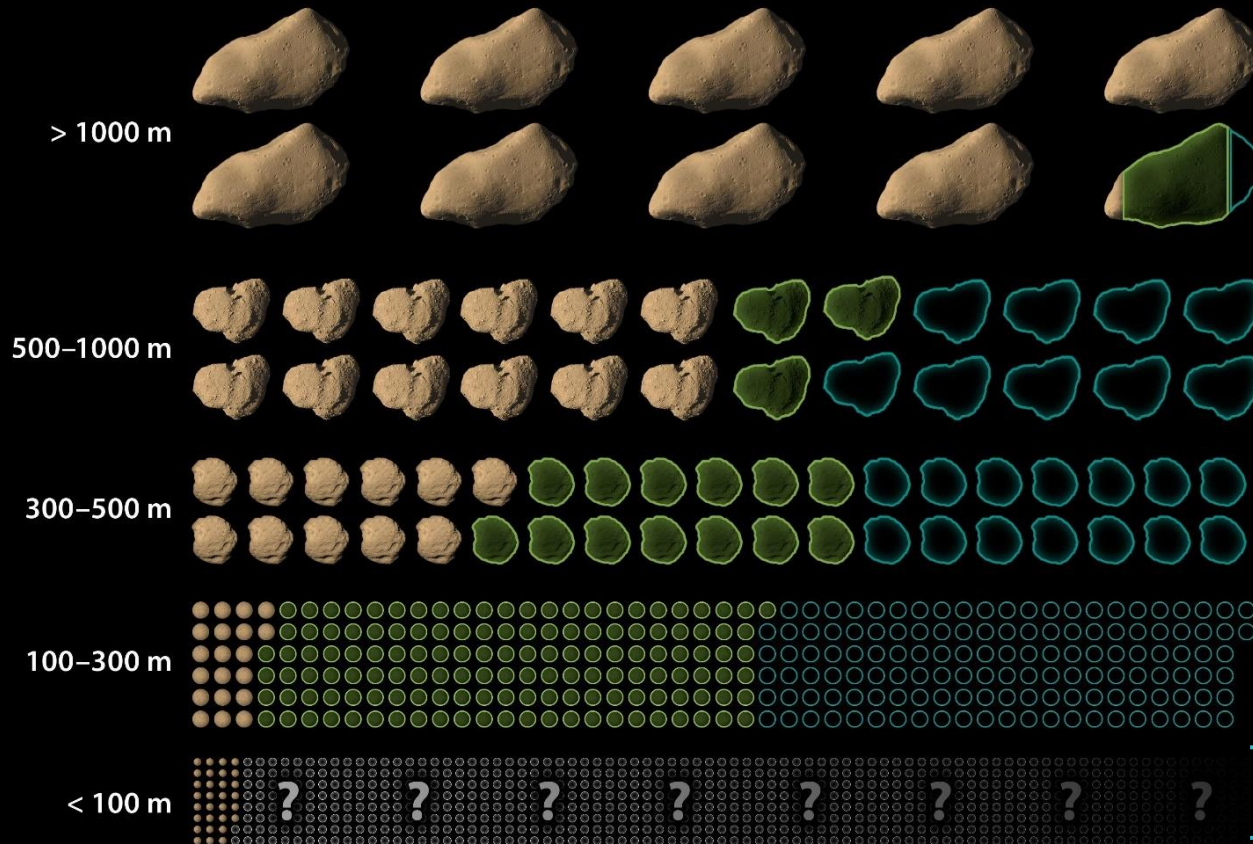
# ASTEROIDS



## A Near-Earth Asteroid Census

Each image represents 100 objects

Known Asteroids ●  
 New Predicted Total (WISE) ○  
 Old Predicted Total (pre-WISE) ○



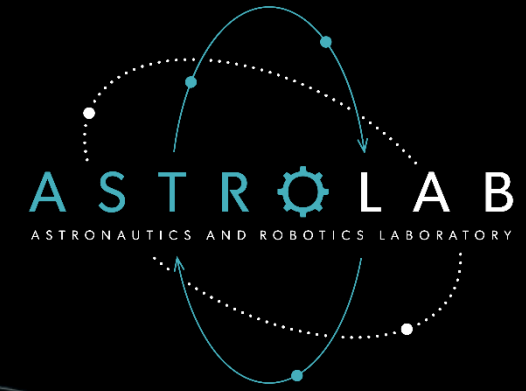
Estimated number of asteroids [1,2]:

- 10-50 m range → **~100 million**

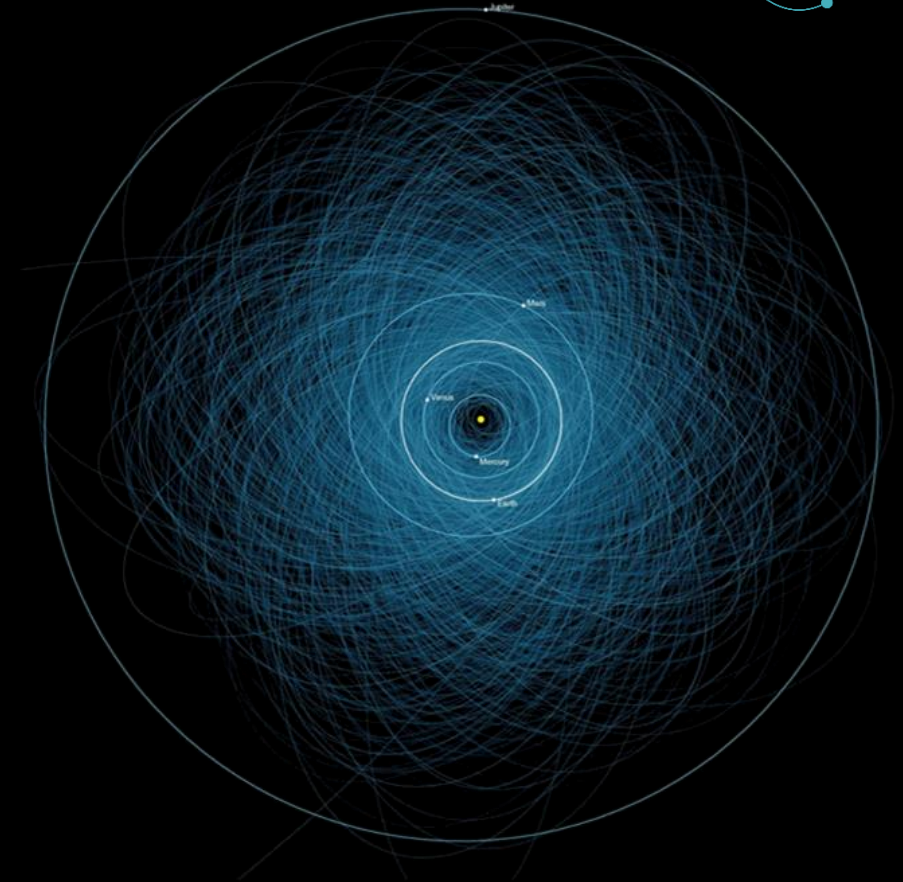
More than a  
**100 MILLION**  
 expected

[1] Tricarico, P., *Icarus*, vol. 284 pp. 416-423, 2014.  
 [2] Harris, A. W., *Icarus*, vol. 257, pp. 302-312, 2015.

# OVERVIEW

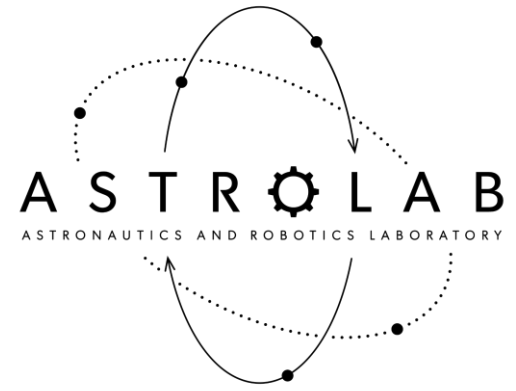


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# WHY ARE WE INTERESTED?

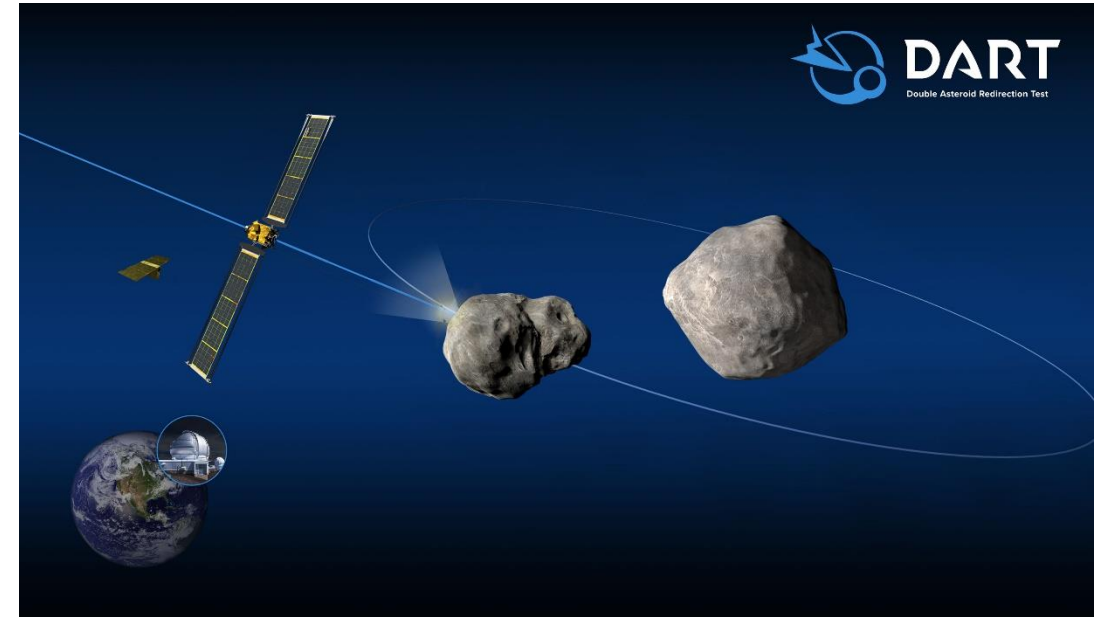
- Asteroid deflection and planetary defense



Chelyabinsk Meteor (Feb 15, 2013)



NASA DART Mission



# WHY ARE WE INTERESTED?

- Asteroid deflection and planetary defense

Apophis Close Approach 2029 (31,600 km above Earth's surface)



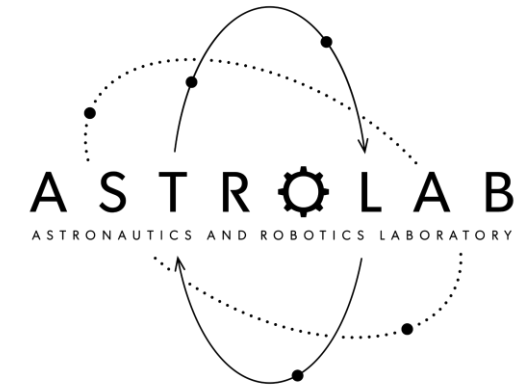


# WHY ARE WE INTERESTED?

- Asteroid deflection and planetary defense
- Scientific exploration
  - Origins of the early solar system
  - Planet formation



OSIRIS-REx Touchdown  
[Image credit: NASA/Goddard]



# WHY ARE WE INTERESTED?

- Asteroid deflection and planetary defense
- Scientific exploration
- Resource utilization

## Asteroids Resources:

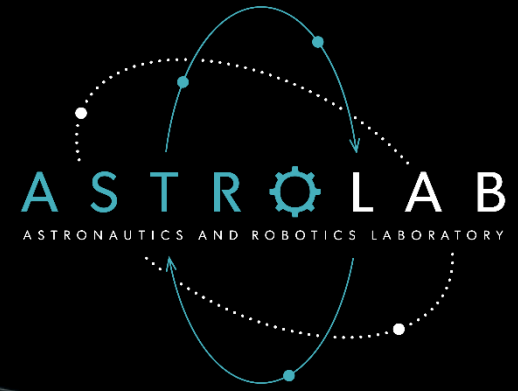
- Water and volatiles
- Rare-Earth metals
- Platinum group metals
- Other metals

Asteroid 1986 DA, ~2.5 km diameter

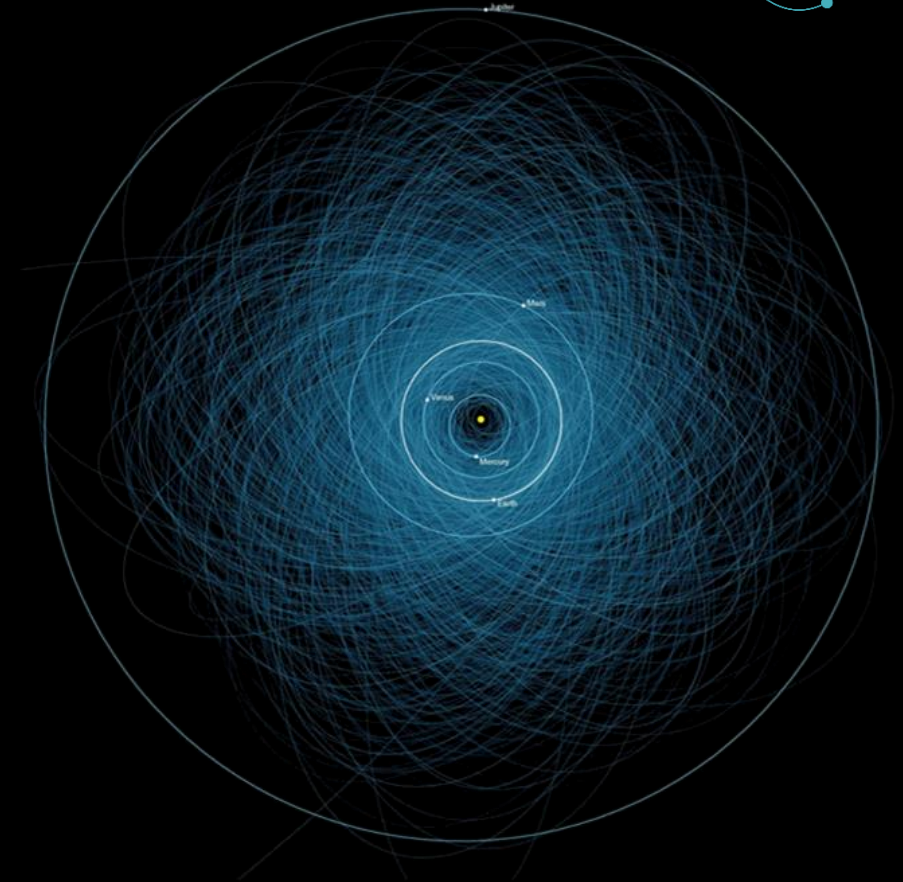
"We estimated that the amounts of Fe, Ni, Co, and the PGM present in 1986 DA could exceed the reserves worldwide. Moreover, if 1986 DA is mined and the metals marketed over 50 yr, the annual value of precious metals for this object would be ~\$233 billion." [3]

[3] Sanchez, et. al. *Planetary Science Journal*, 2:205 (15pp), 2021.

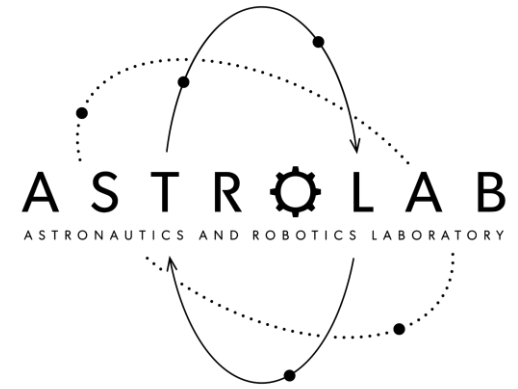
# OVERVIEW



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# CHALLENGES & OPPORTUNITIES



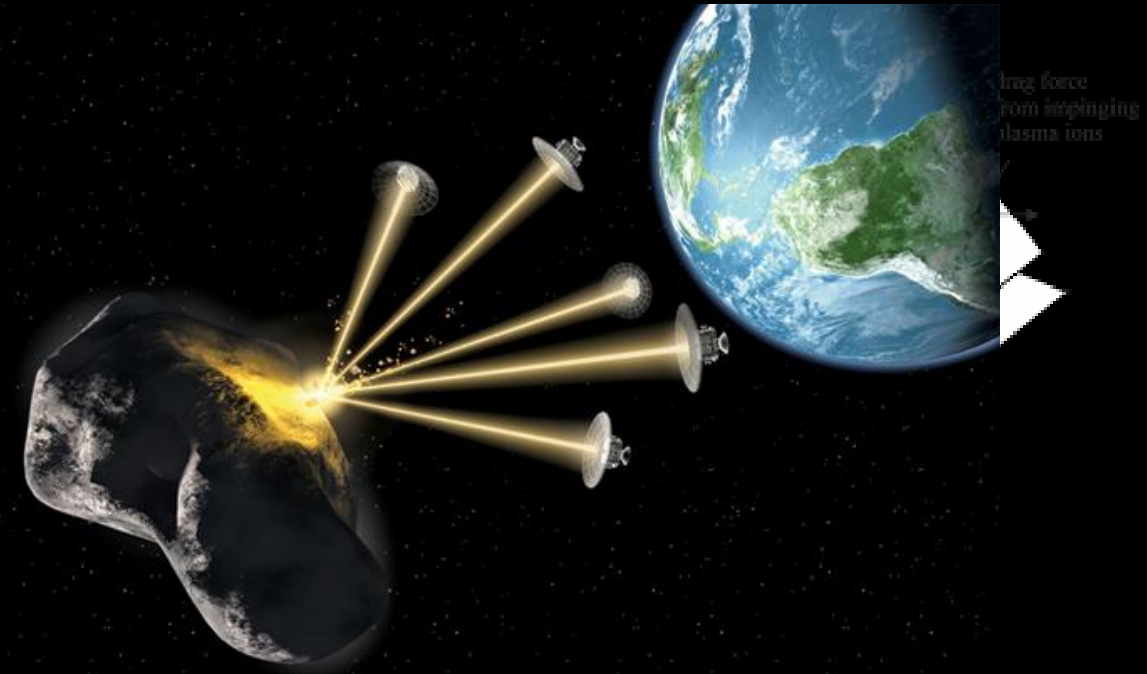
## Some Open Questions

- Which asteroid redirection methods should be used?
- Which asteroids do we want to target?
- What trajectories should we use for transfers?
- How can we control asteroid motion?
- How do we improve our models of asteroids?
- .. and many more.

# REDIRECTION METHODS

What are the redirection methods we might want to consider?

- RM-01: Ion beam shepherd
- RM-02: Tugboat/thruster
- RM-03: Gravity tractor
- RM-04: Laser sublimation
- RM-05: Mass ejector



[Image credit: NASA]

# REDIRECTION METHODS

## Comparative Analysis of Asteroid Redirection Methods:

- I. Resource utilization framework → Definition of scope, objectives and constraints
- II. Five redirection methods → Ion Beam, Tugboat, Laser, Mass Ejector, Gravity Tractor
- III. Mission level criteria →  $\Delta v$ , robustness, alteration, cost, power, TRRA, mission risk, long-term value
- IV. Uncertainty in physical parameters → diameter, spin, density, material ( $E_s, T_s, \dots$ )
- V. Monte Carlo analysis → 10 000 evaluations of system equations with uncertainty
- VI. Three aggregation techniques → AHP, Utility-based, Fuzzy Aggregation

# REDIRECTION METHODS

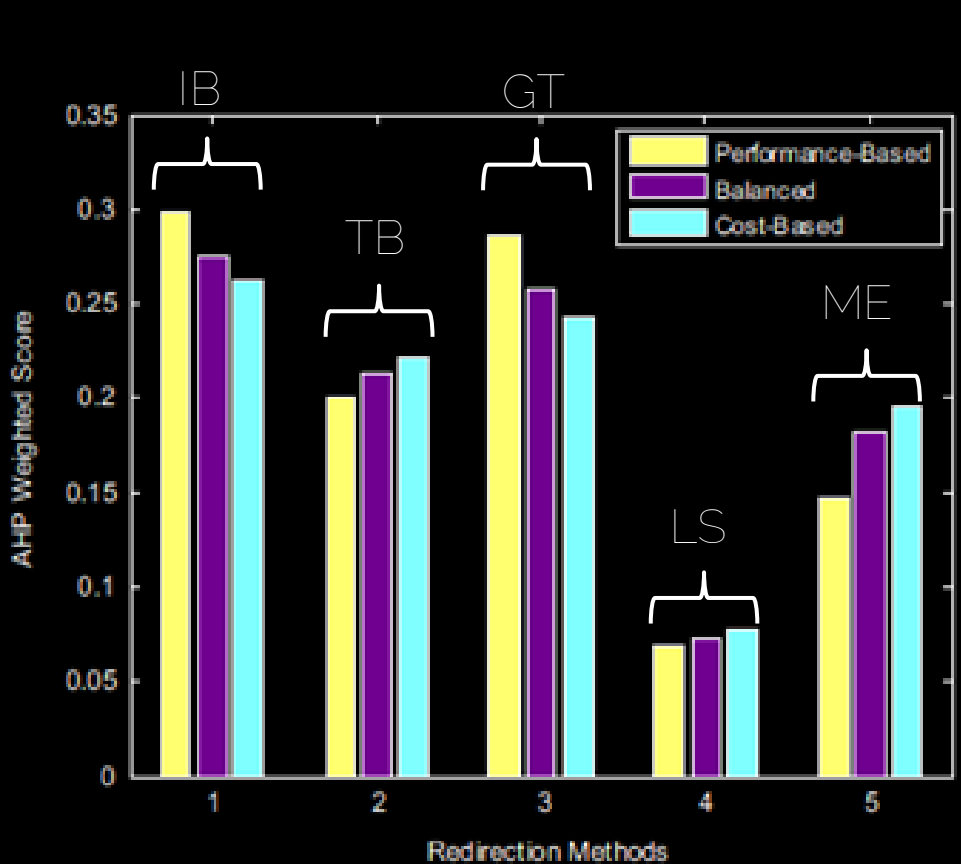


Fig. 4. AHP redirection method scores.

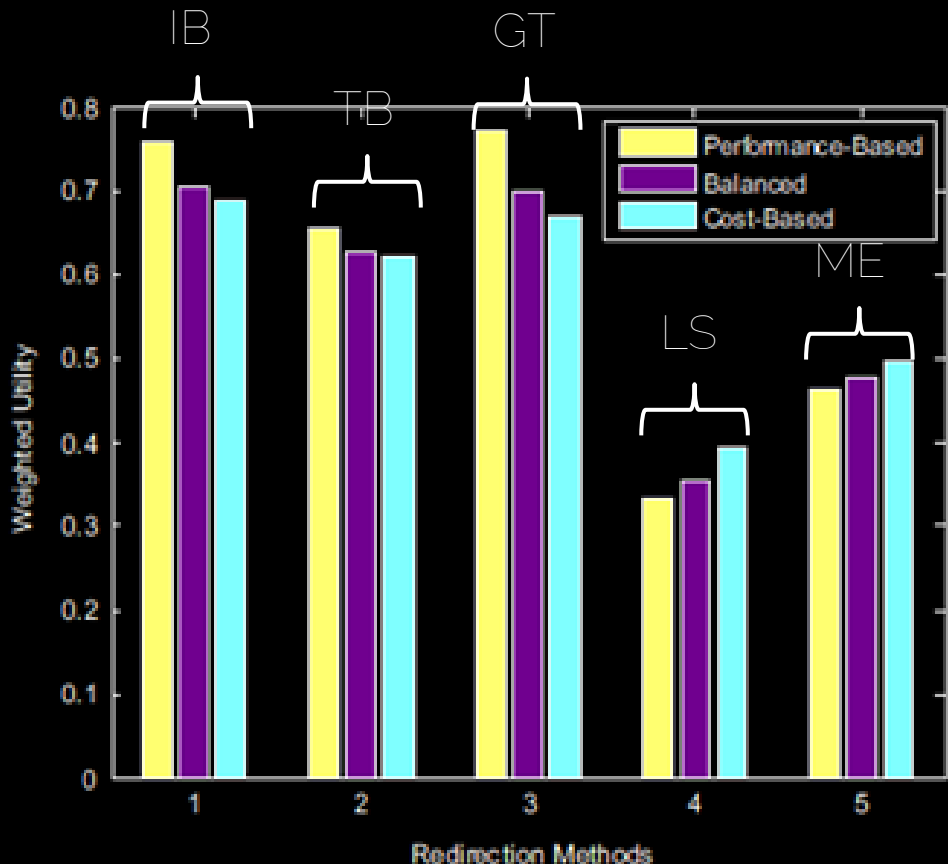
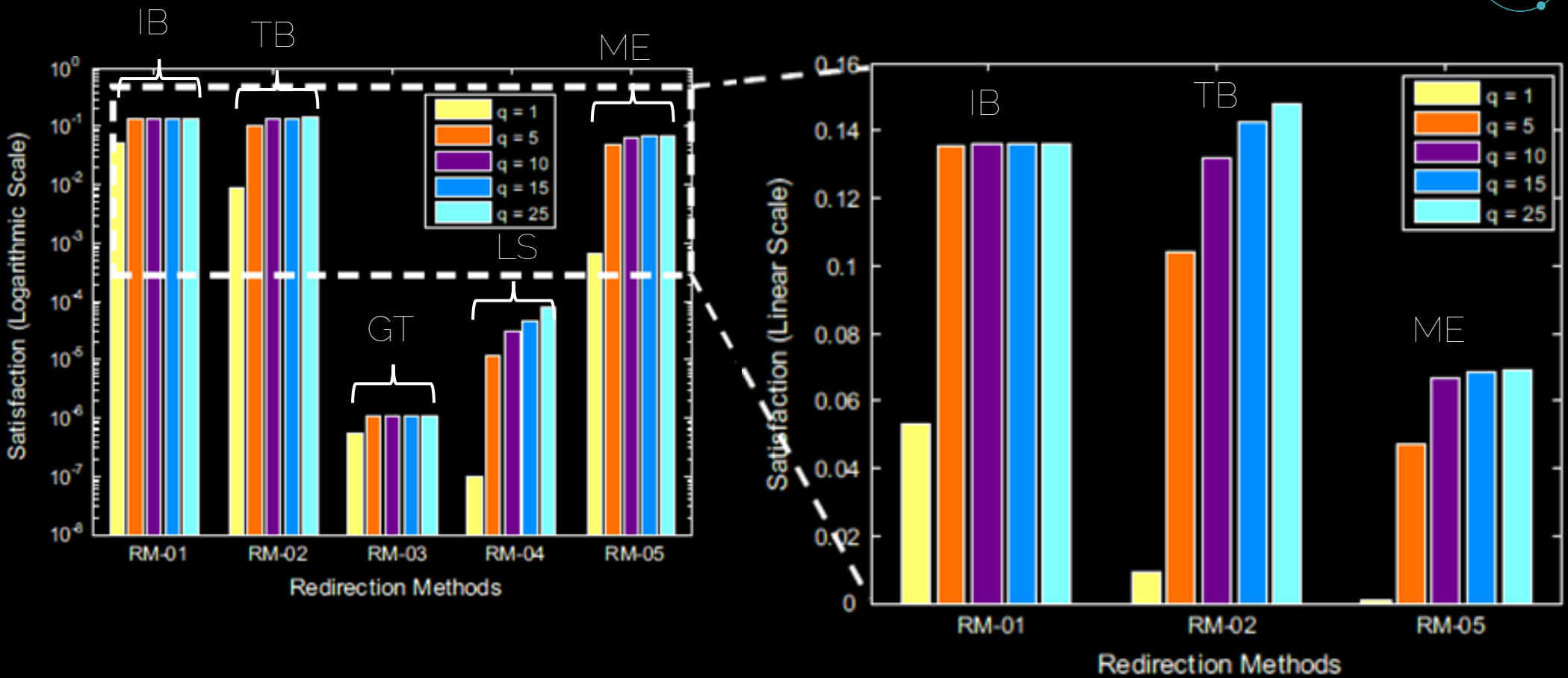


Fig. 5. Utility method redirection method scores.

# REDIRECTION METHODS





# REDIRECTION METHODS

How could this be improved by using formations?

- I. Resource utilization framework → Definition of scope, objectives and constraints
- II. Five redirection methods → Ion Beam, Tugboat, Laser, Mass Ejector, Gravity Tractor
- III. Spacecraft formations → Free-flying and Landed (1, 3, and 5 spacecraft)

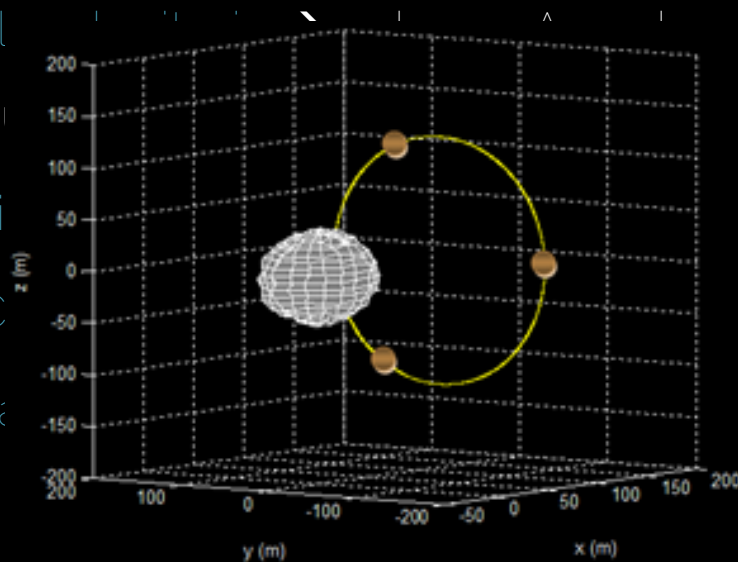
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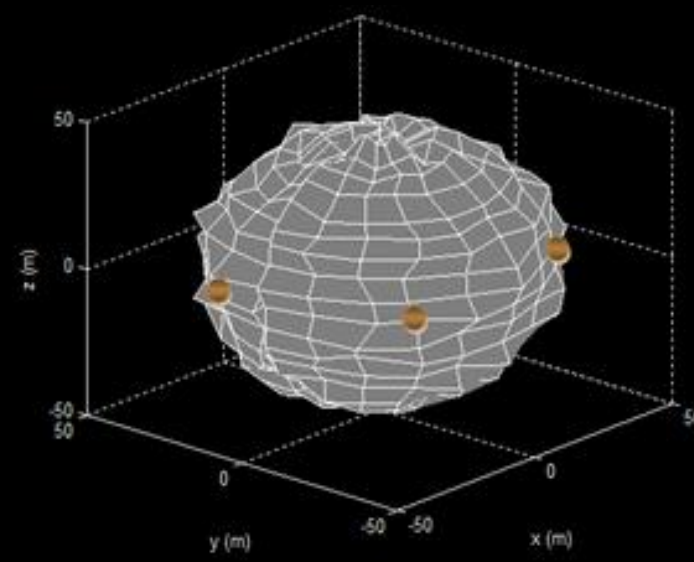
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# REDIRECTION METHODS

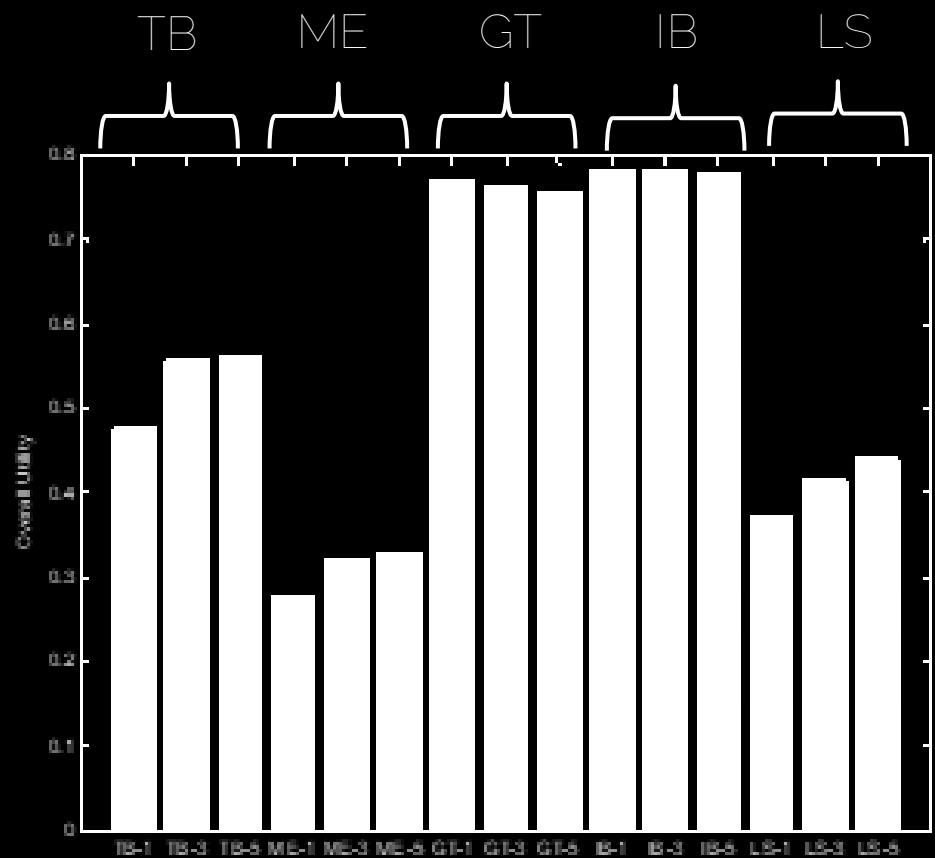


Figure 3-10. Overall weighted utility of each method

Ion Beam

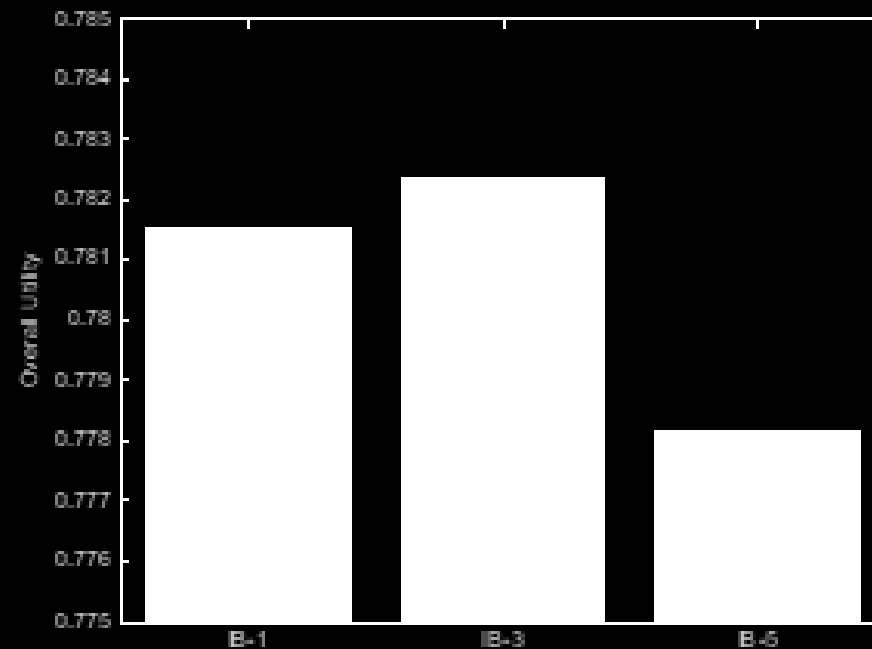
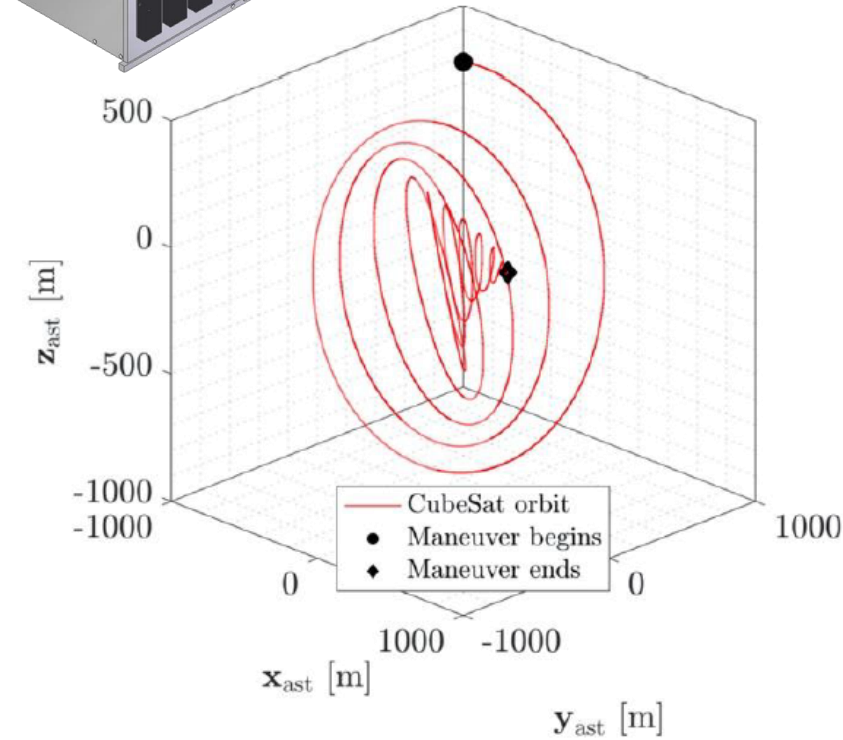
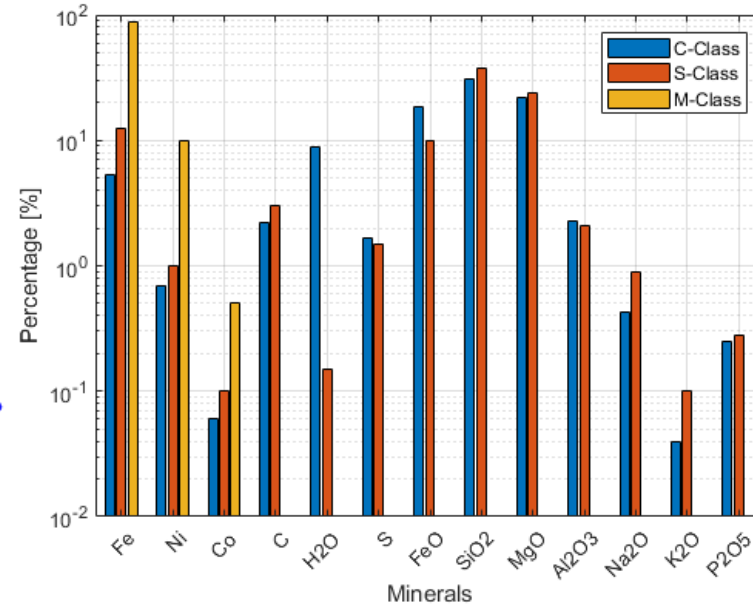
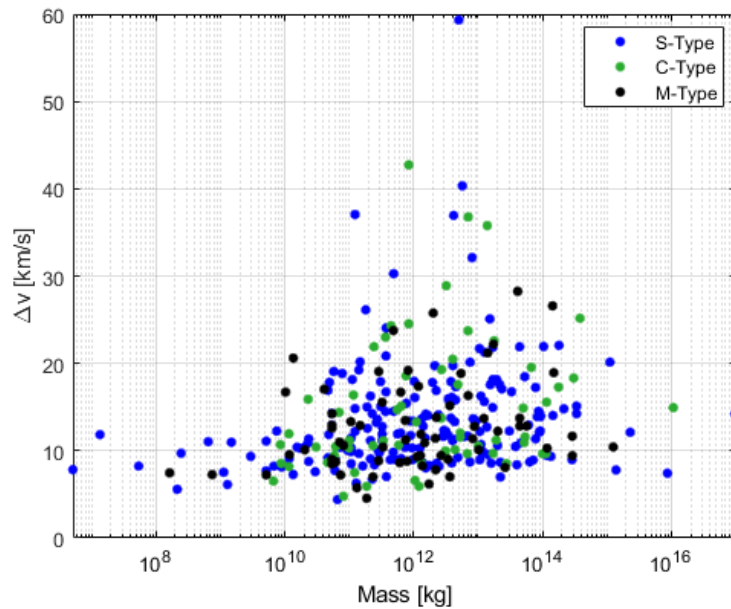
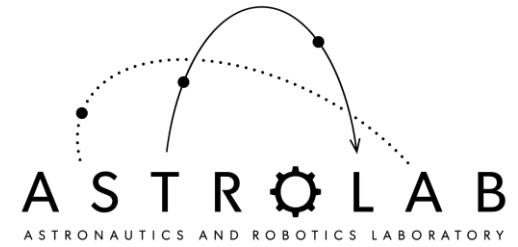
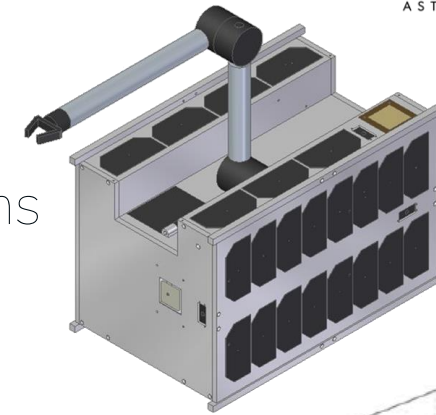


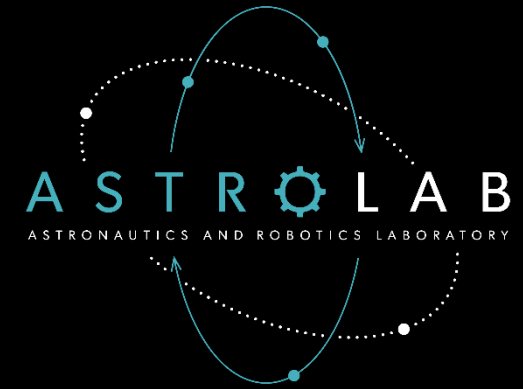
Figure 3-11. Overall weighted utility of each ion beam formation approach

# ASTEROID EVALUATION

- Development of an impulsive orbital transfer stochastic optimization scheme with material resource expectations
- Small satellite with low-thrust attitude and orbit controller for rapid prospecting using sampling arm

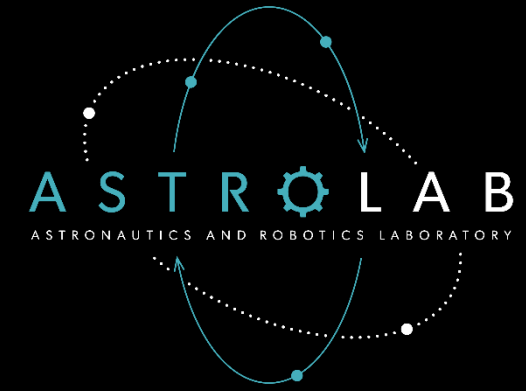


# TRAJECTORY PLANNING



With low-thrust techniques,

- What is the target range of asteroids for redirection?
- How do we optimize transfer trajectories considering uncertainty?

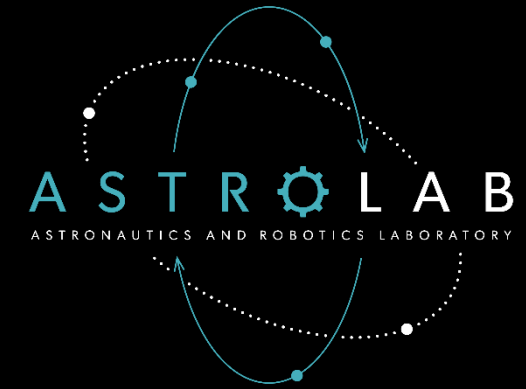


# TRAJECTORY PLANNING

## Feasibility of Low-thrust Asteroid Orbital Transfer:

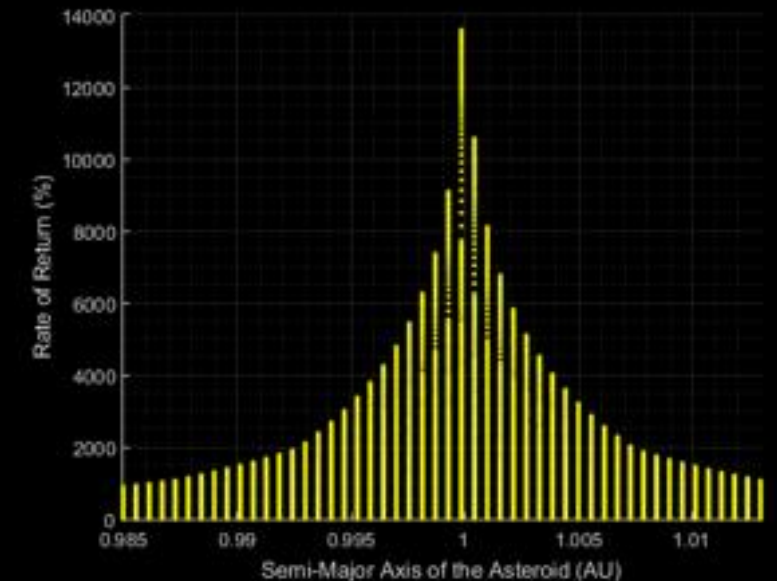
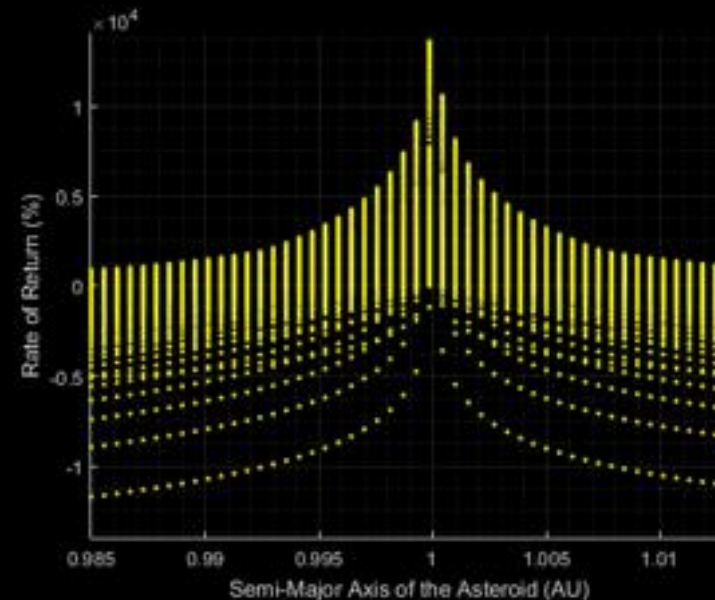
- I. Characterize target asteroid range and generate candidate database → Arjuna-type (semi-major axis and transfer angle), physical characteristics, number of revolutions
- II. Low-thrust redirection method → Ion beam shepherd and spacecraft specifications
- III. Trajectory design model → Minimized form of Gauss' Variational Equations and transfer angle formulations
- IV. Spacecraft sizing model → Relationships for mass, power, fuel, and thrust
- V. System costing model → NPV analysis and NASA QuickCost model
- VI. Analysis → Full database, positive return space and investment range

# TRAJECTORY PLANNING



## Results

- Many feasible scenarios over the entire domain, some with very high return
- Small asteroids in the range of ~2-15 m very feasible
- Excellent overall mission characteristics:
  - 60-70% fuel ratio
  - 1-4 year timeframes
  - Mass < 5 tonnes
  - Cost ~100s of millions



(a)

(b)

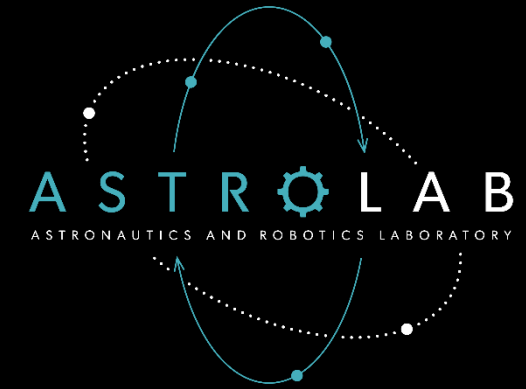
Figure 4-4. Scatter plots of semi-major axis versus return rate; (a) all results; and (b) positive return rates

# TRAJECTORY PLANNING

## Optimization of Asteroid Three-dimensional Transfer:

- I. **Problem formulation** → 2-phase transfer using patched conic approximation (initial orbit to rendezvous, rendezvous to capture orbit), low-thrust (Asteroid: 2013 RZ<sub>53</sub>)
- II. **Uncertainty models** → Magnitude, albedo, and density distributions and relationships
- III. **Uncertainty quantification** → Monte Carlo analysis of near-optimal solutions for 100 candidate asteroids
- IV. **Trajectory design** → Pseudo-equinoctial shaping transfer design in two phases
- V. **Optimization design** → Minimize  $\Delta v$ , 21 decision variables, system/transfer/target-orbit constraints, two step: global (GA) and local (SQP)

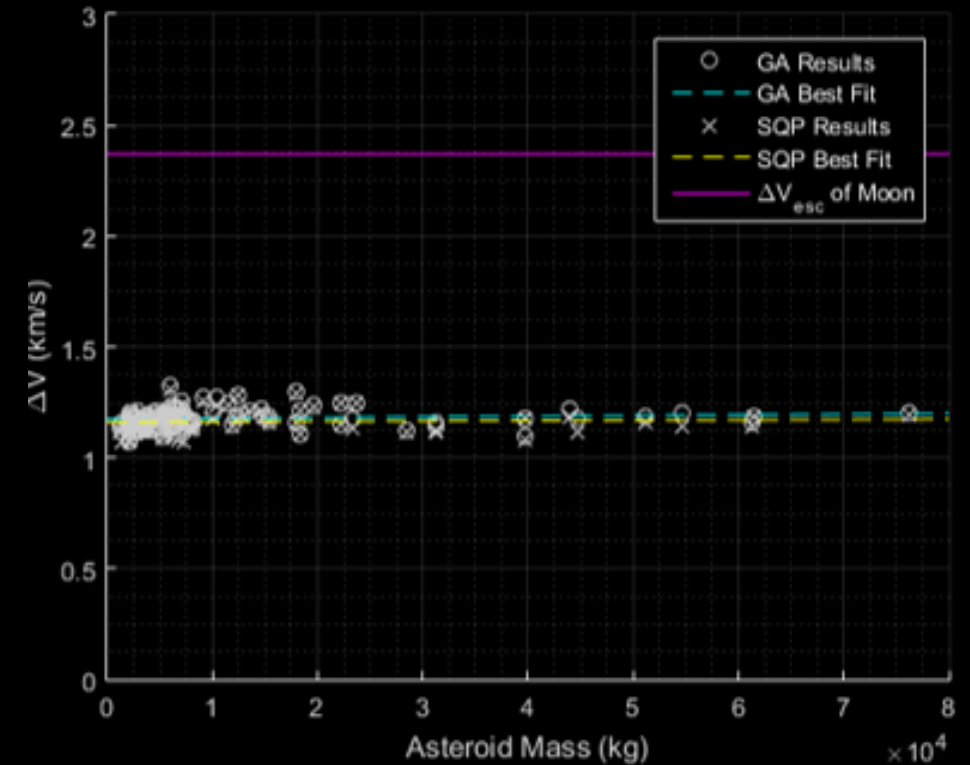
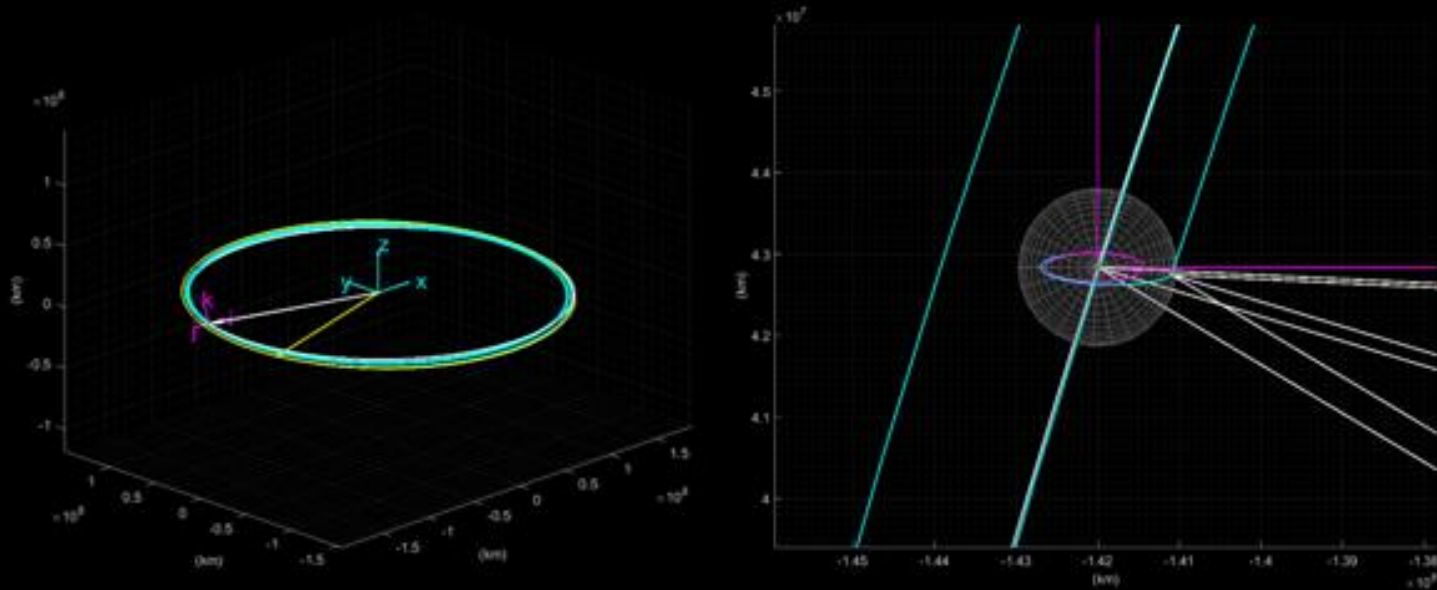
# ASTEROID CONTROL



- Low-thrust orbit transfer optimization with uncertainty using pseudo-equinocial elements

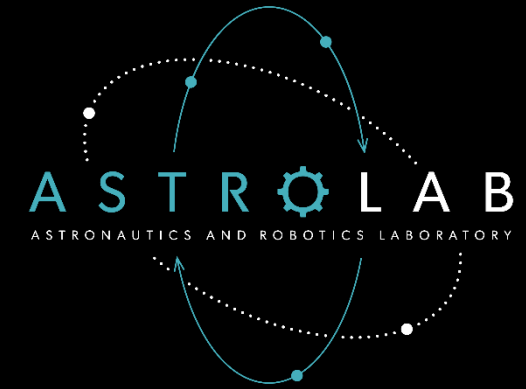
$$\Delta v = 1.068 \text{ km/s}$$

Transfer time ~3 years

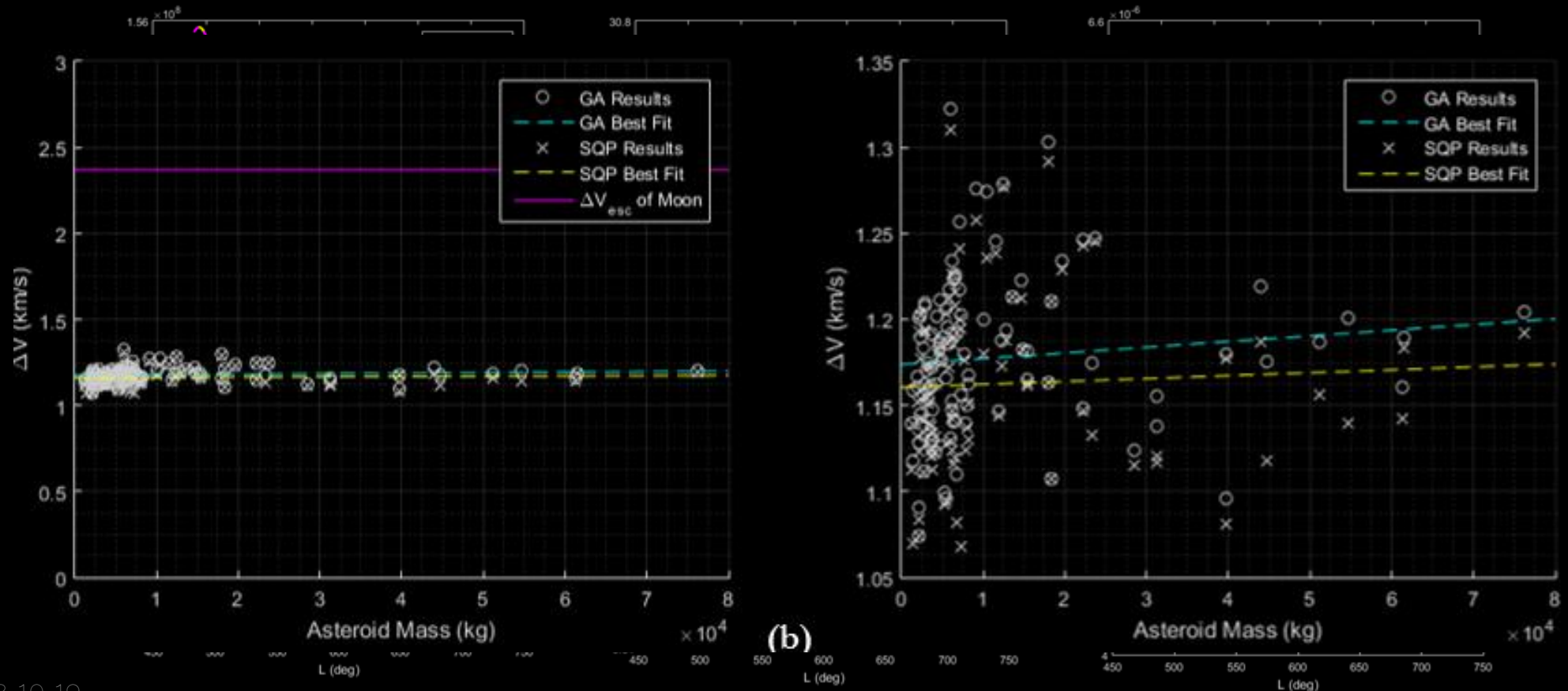




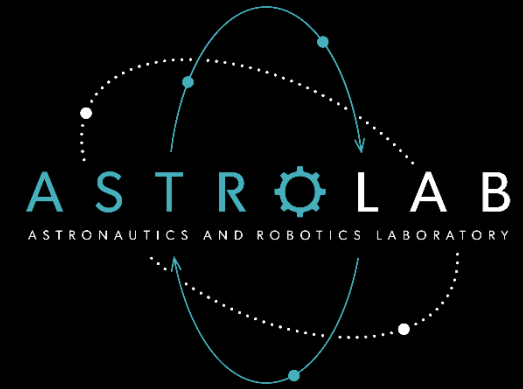
# ASTEROID CONTROL



- Low-thrust orbit transfer optimization with uncertainty using pseudo-equinocitial elements

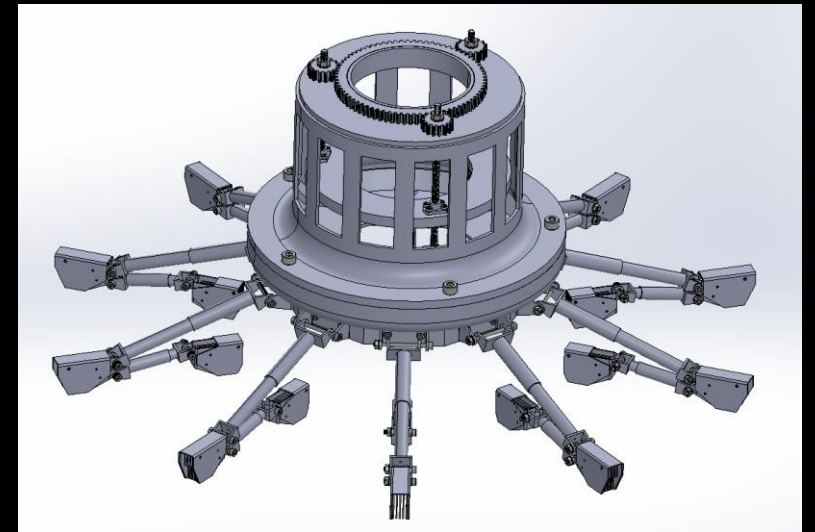


# LANDED FORMATION CONTROL

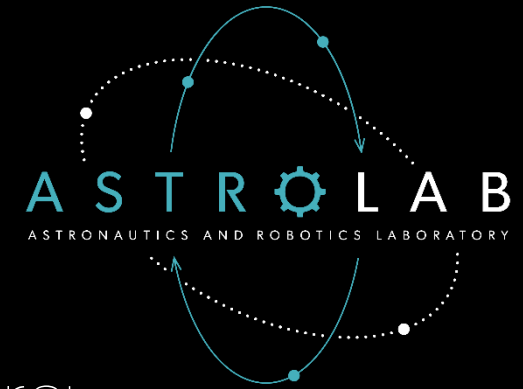


With landed formations,

- For what size of asteroids are landed formations most viable?
- Where should we place landers to best control an asteroid?



# LANDED FORMATION CONTROL



## Redirection Mission Evaluation Using Multiple Landers:

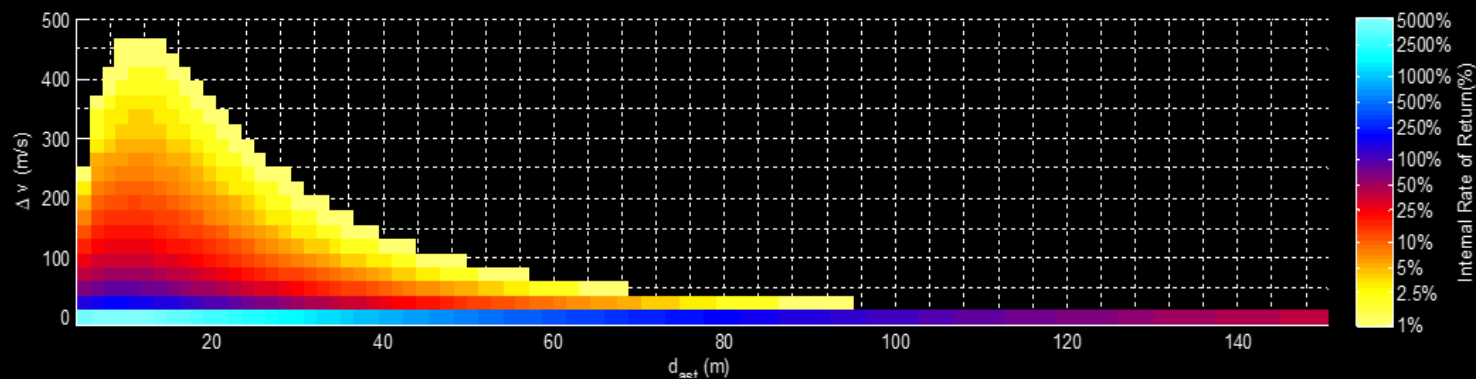
- I. Problem formulation → Multiple landed tugboat spacecraft, scope, and constraints
- II. Asteroid target range → Establish properties, bounds, to create 10 000 redirection scenarios ( $d_{ast} \leq 150$  m) and ( $\Delta v$  ranging from 1-2370 m/s)
- III. Spacecraft sizing model → Relationships for mass, power, fuel, thrust, and # of s/c
- IV. System costing model → Multiple s/c modelling for cost learning curve, data rate and communications requirements, return, NPV analysis, and NASA QuickCost model
- V. Optimization across scenarios → Best return on investment, presented with respect to key optimization parameters, particularly number of spacecraft

# LANDED FORMATION CONTROL

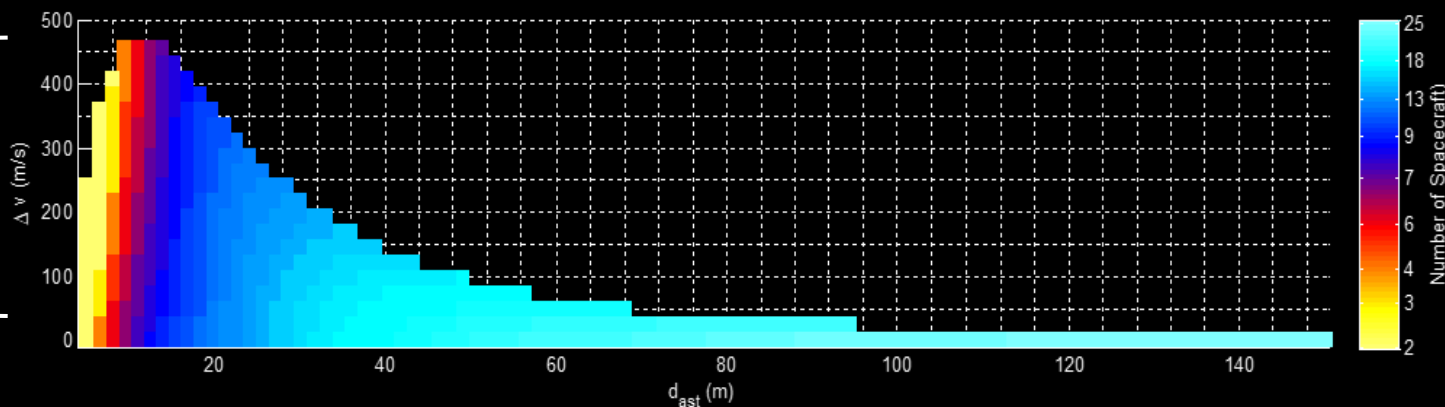
## Redirection Mission Evaluation Using Multiple Landers

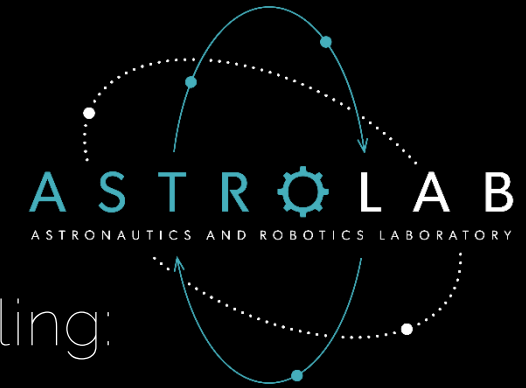
- Low-thrust tugboats are a valid approach for small-medium sized asteroids with low  $\Delta v$

Asteroid Range  
 $d_{ast} < 40$  m  
 $\Delta v < 500$  m/s  
 Return ~10-250%



Number of S/C  
 ~3-10





# LANDED FORMATION CONTROL

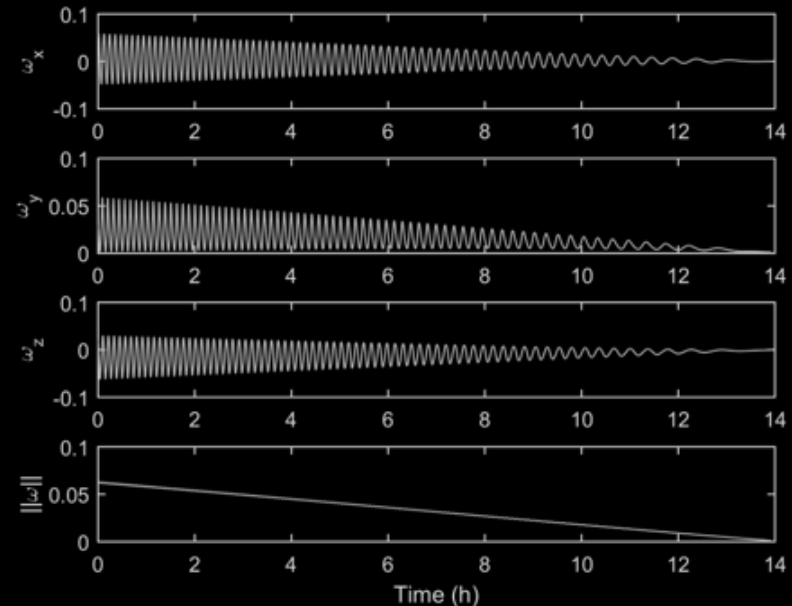
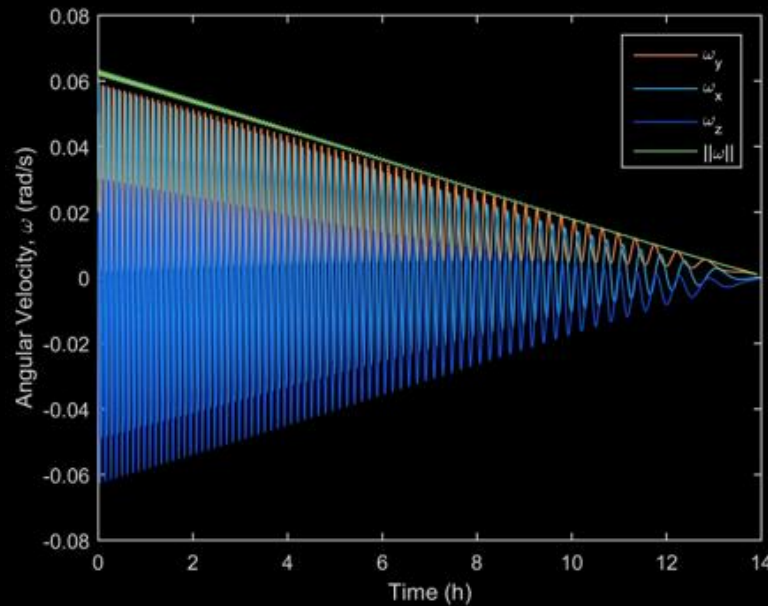
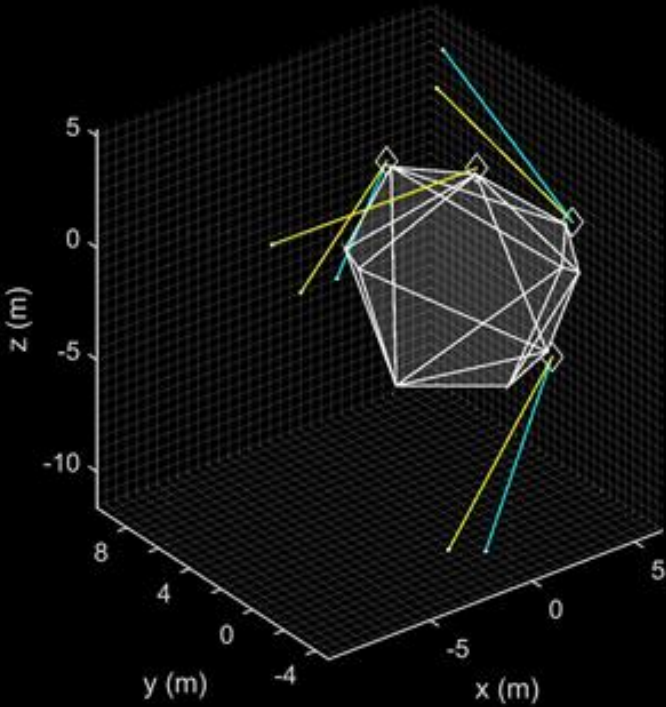
Optimal Formation for Multiple Landers for Asteroid Detumbling:

- I. Formation model → Four landers for detumbling
- II. Asteroid modelling → Triangularly faceted convex polyhedra, computing mass and inertial properties through divergence and Green's theorems
- III. Thrust locations and orientations → Determine viability using specific thruster/lander constraints and ensure non-intersection via polyhedral parametric line-clipping
- IV. Control allocation → Ensure full attitude/torque control for feasible combinations
- V. Detumbling control → Four thrusters with proportional-derivative control law based
- VI. Optimality measures → Time-optimal detumbling of the asteroid
- VII. Simulation and sensitivity analysis → Fictitious asteroid w/ results of simulation

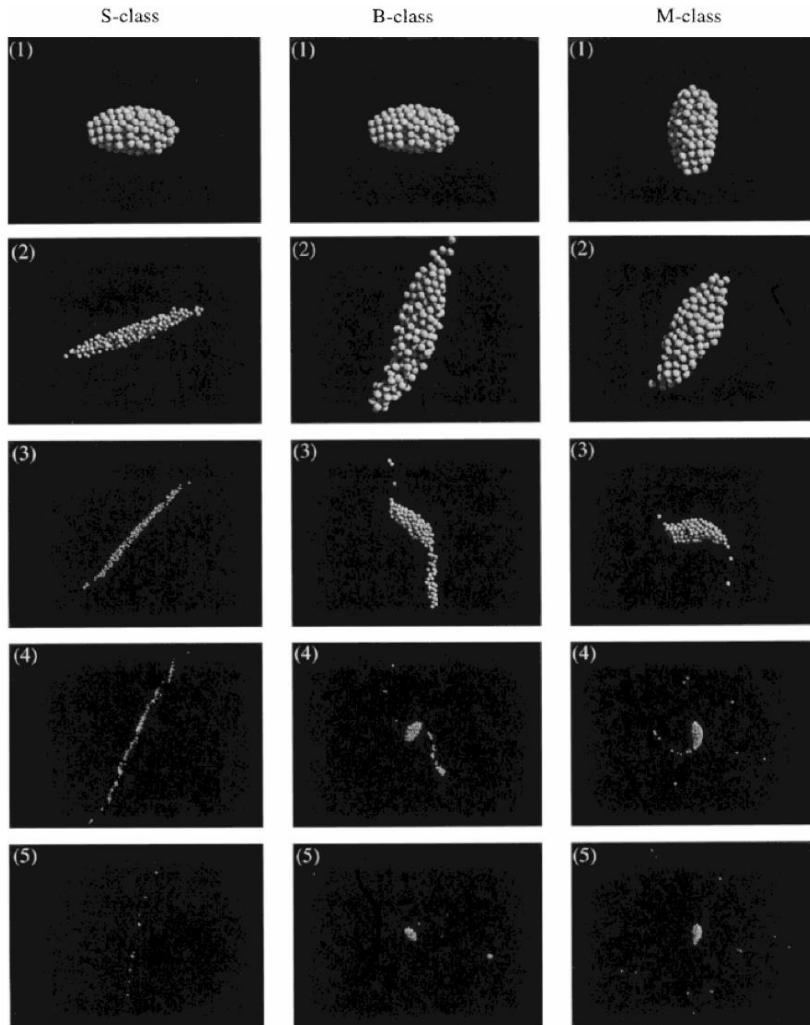
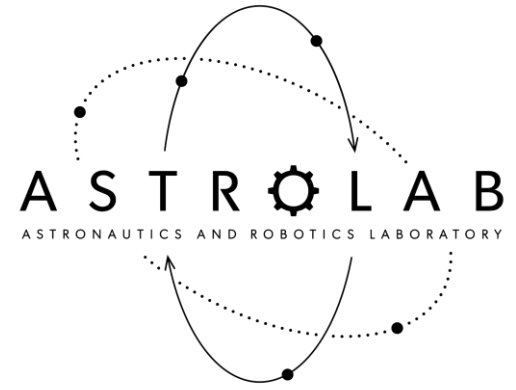
# LANDED FORMATION CONTROL

Table 5-7. Simulation results

Variable	Optimization	Sensitivity Analysis	Unit
Detumbling time	14.5136	13.9217	h
Total mass of fuel	1.0318	1.0023	kg
Fuel mass – thruster 1	0.3149	0.3115	kg
Fuel mass – thruster 2	0.3310	0.2944	kg
Fuel mass – thruster 3	0.1792	0.1902	kg
Fuel mass – thruster 4	0.2066	0.2061	kg



# ASTEROID MODELING

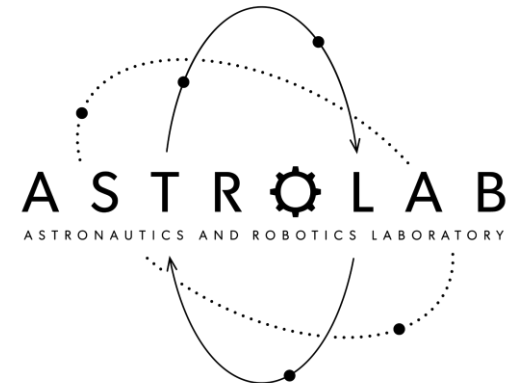


- Development of nonhomogeneous, polyhedral granular model using soft-body dynamics



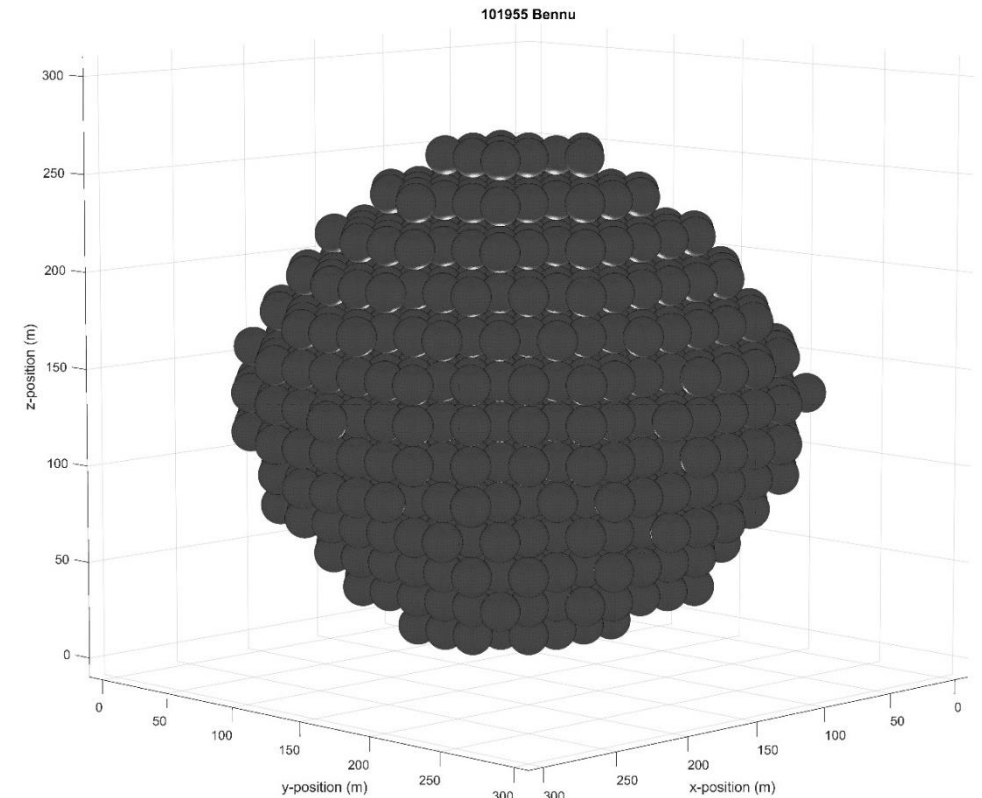
- Binary asteroid formation
- DART/Hera mission for planetary defense

# ASTEROID MODELING



Investigating the probability of binary asteroid formation mechanisms, i.e., re-aggregation after YORP spin-up, tidal disruption, or a collision,

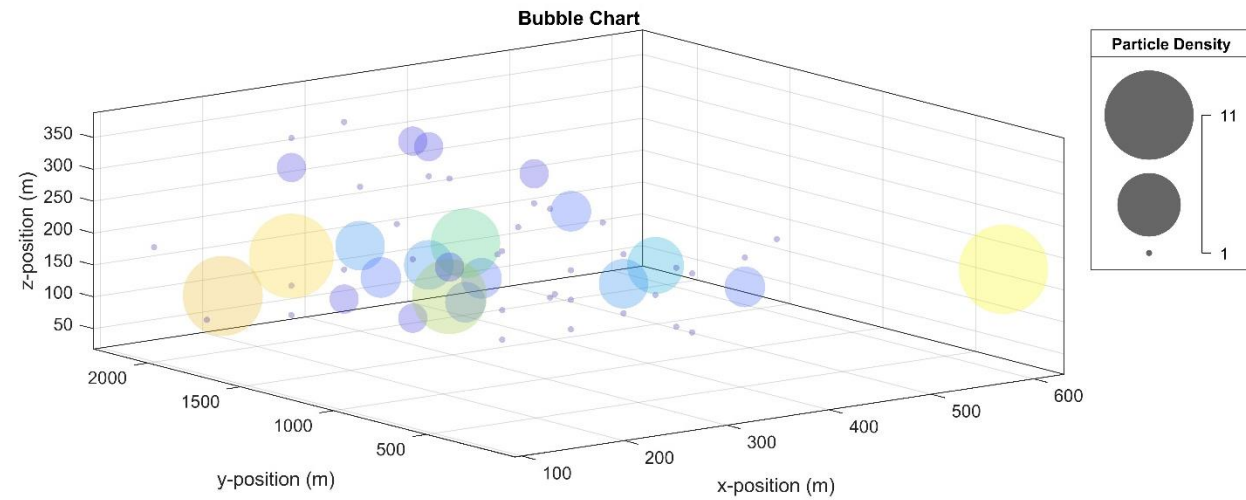
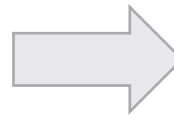
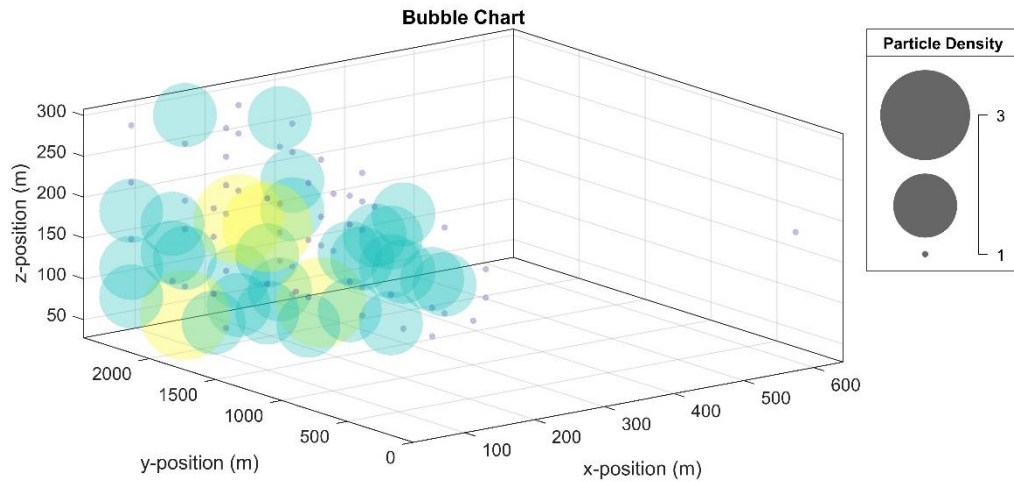
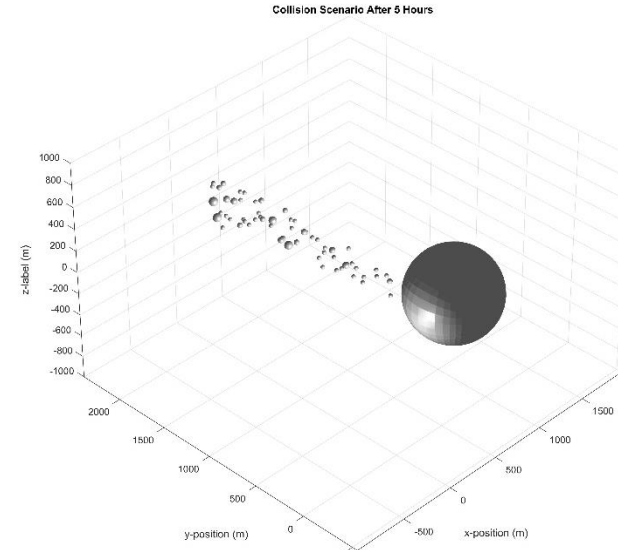
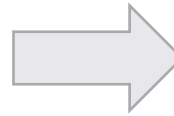
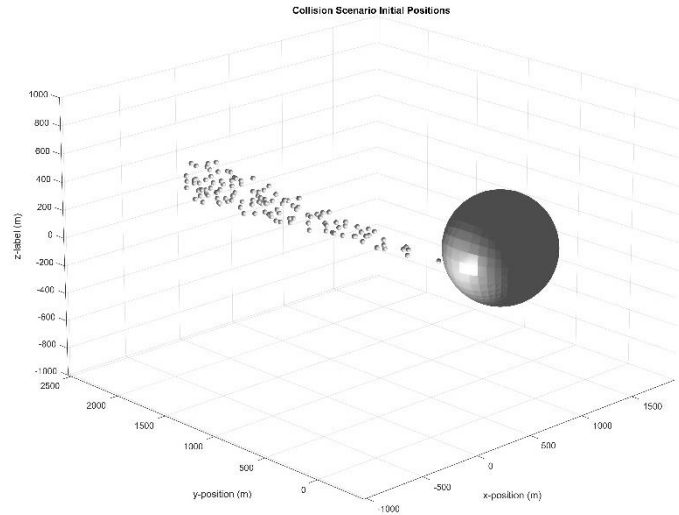
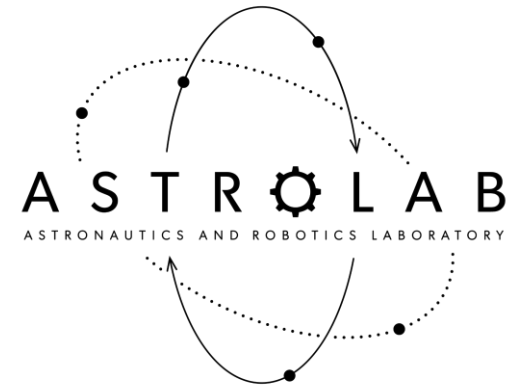
- Develop granular model code that follows the soft-sphere discrete elements method (SSDEM)
- Simulating a variety of formation scenarios with a range of initial conditions



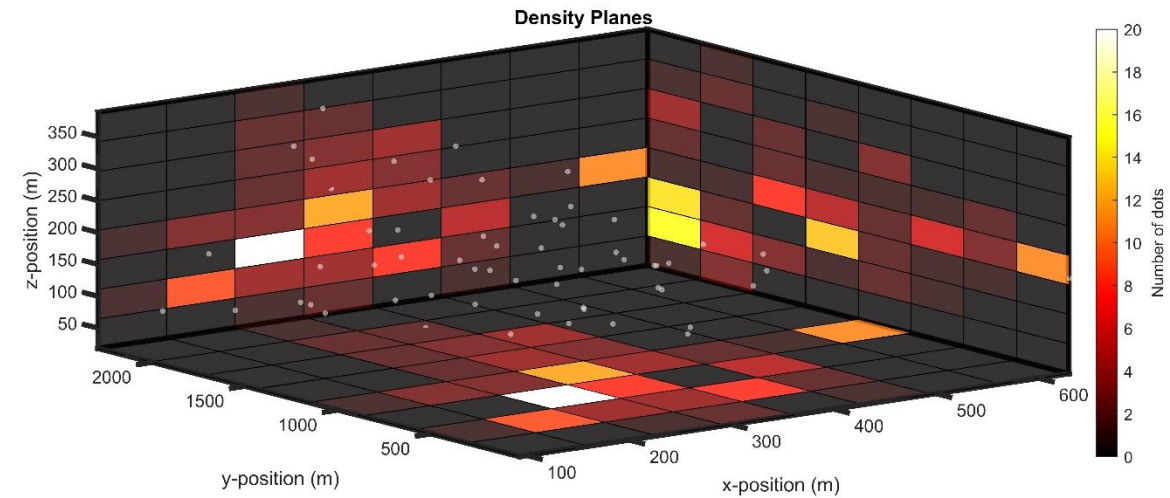
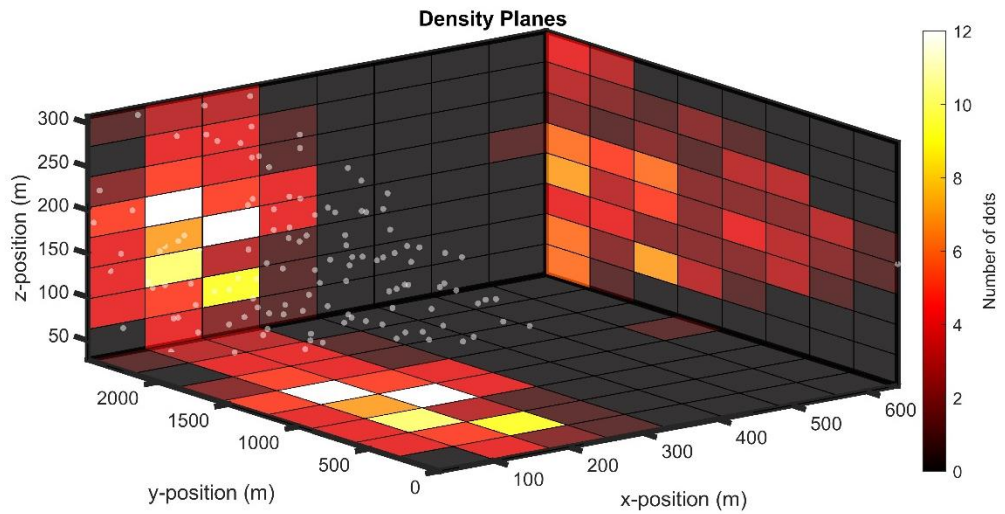
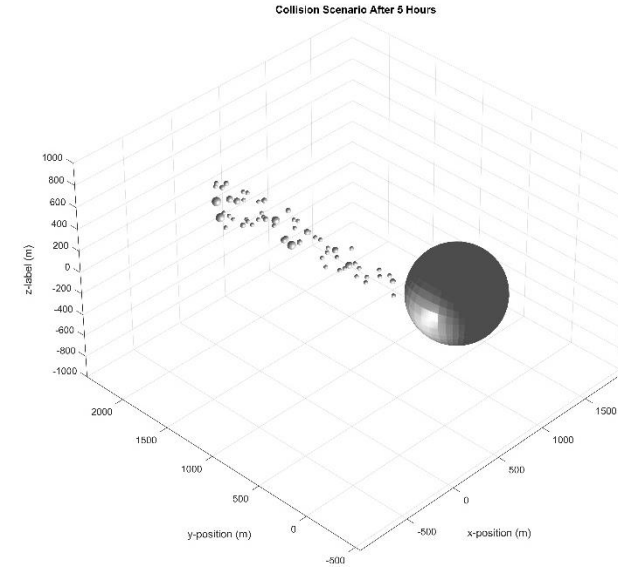
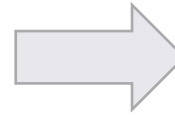
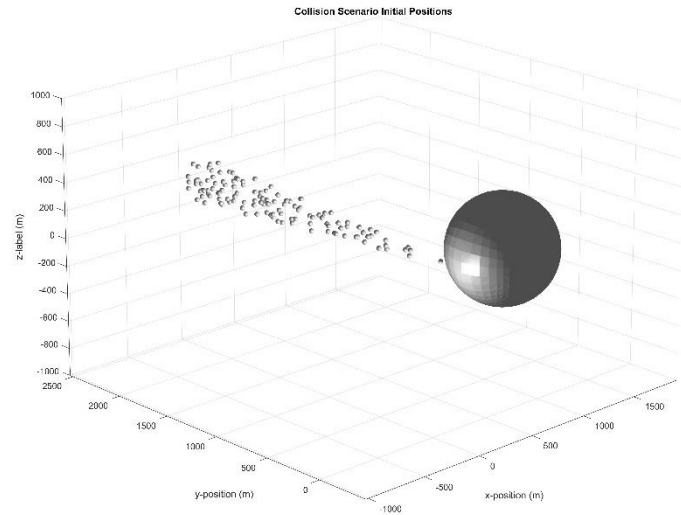
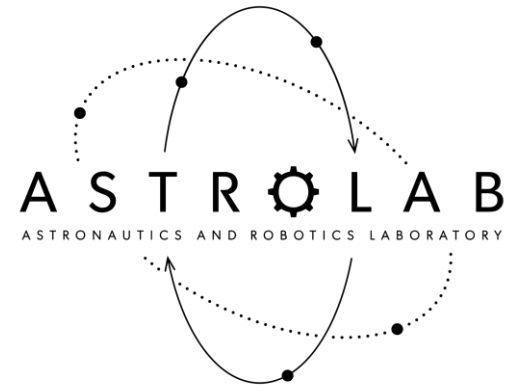
Preliminary results for a collisional scenario using the parameters of the Didymos-Dimorphos system



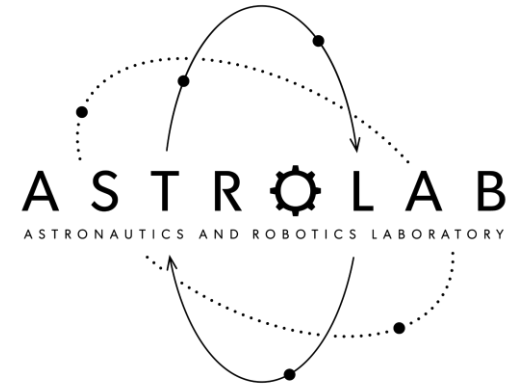
# ASTEROID MODELING



# ASTEROID MODELING



Key takeaways...



THANK YOU

Any Questions?

For more information or full reference list contact:

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[www.astrolabresearch.com](http://www.astrolabresearch.com)